## **On Chromaticity of Ladder-Type Graphs**

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**Abstract.** We give general formulas of the chromatic polynomial of some interesting families of ladder-type graphs, and conclude that, except two, neither two of them are chromatically equivalent. Moreover, some of them are not chromatically unique.

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### 1. Introduction

The chromatic polynomial was introduced by G. D. Birkhoff in 1912 as a function that counts the number of graph colorings for planar graphs to solve the four color problem [1]. In 1932 H. Whitney generalized it from the planar graphs to arbitrary graphs [7]. The chromatic polynomial, because of its theoretical and applied importance, has generated a large body of work. Chia [4] provides an extensive bibliography on the chromatic polynomial, and Dong, Koh, and Teo [6] give a comprehensive treatment.

The following two operations are essential to understand the chromatic polynomial definition for a graph G. These are: *edge deletion* denoted by G' = G - e, and *edge contraction* G'' = G/e.



The deletion/contraction operations

**Definition 1.1.** The *chromatic polynomial* is a function P from the set of all graphs to the set  $\mathbb{Z}[\lambda]$ , a ring of polynomials, such that

$$P(G) = \begin{cases} 0 & \text{if there is a loop in } G \\ \lambda^n & \text{if } G \text{ consists of only } n \text{ isolated vertices} \\ P(G-e) - P(G/e) & \text{otherwise} \end{cases}$$

Two graphs are chromatically equivalent if they have the same chromatic polynomial; a graph G is chromatically unique if P(G) = P(G') implies  $G \cong G'$ .

For a positive integer  $\lambda$ , a  $\lambda$ -coloring of a graph G is a mapping of V(G)into the set  $\{1, 2, 3, \ldots, \lambda\}$  of  $\lambda$  colors. Thus, there are exactly  $\lambda^n$  colorings for a graph on n vertices. If  $\varphi$  is a  $\lambda$ -coloring such that  $\varphi(u) \neq \varphi(v)$  for all  $uv \in E$ , then  $\varphi$  is called a *proper* (or *admissible*) coloring. The *chromatic number* of a graph G, denoted by  $\gamma(G)$ , is the smallest number of colors needed to color the vertices of G so that no two adjacent vertices share the same color.

Remark 1.2. Every evaluation of chromatic polynomial at some number  $\lambda$  actually gives the  $\lambda$ -coloring of the graph.

Since we are interested mainly in ladder-type graphs, we define them here. First, the two closely related definitions:

**Definition 1.3.** A ladder graph  $L_n$  is the Cartesian product of path graphs  $p_n$  and  $p_2$ :

$$L_n = p_n \times p_2$$

We define a *ladder-type graph* a ladder graph with addition of some edges and vertices, in some pattern, keeping the main structure of  $L_n$  intact.

The ladder-type graphs we are concerned with are:



The subscripts  $n, n_1, n_2, n_3, n_4, n_5, n_6, n_7$ , and  $n_8$  in these graphs respectively represent number of 'unit' boxes of types



The following is the chromatic polynomial of the ladder graph  $L_n$ , which already exists in the literature.

**Proposition 1.4.** The chromatic polynomial of the graph  $L_n$  is  $P(L_n) = \lambda(\lambda - 1)(\lambda^2 - 3\lambda + 3)^n.$ 

First we give the chromatic polynomials of four 'basic' ladder-type graphs:

**Theorem 1.5.** The chromatic polynomials of  $L_{n_1}, L_{n_2}, L_{n_3}$ , and  $L_{n_4}$  are

**a.**  $P(L_{n_1}) = \lambda(\lambda - 1)(\lambda - 2)^{2n_1}$ , **b.**  $P(L_{n_2}) = \lambda(\lambda - 1)(\lambda - 2)^{4n_2}$ , c.  $P(L_{n_3}) = \lambda(\lambda - 1)(\lambda^3 - 5\lambda^2 + 10\lambda - 7)^{n_3}$ , and **d.**  $P(L_{n_4}) = \lambda(\lambda - 1)(\lambda - 2)^{n_4}(\lambda - 3)^{n_4}$ .

Then we have the proposition:

**Proposition 1.6.** The chromatic polynomials of  $L_{n_5}, L_{n_6}, L_{n_7}$ , and  $L_{n_8}$  are

- **a.**  $P(L_{n_5}) = \lambda(\lambda 1)(\lambda 2)^{2n_5}(\lambda^2 3\lambda + 3)^{n_5},$
- **b.**  $P(L_{n_6}) = \lambda(\lambda 1)(\lambda 2)^{2n_6}(\lambda^2 3\lambda + 3)^{n_6}$ , **c.**  $P(L_{n_7}) = \lambda(\lambda 1)(\lambda^2 3\lambda + 3)^{n_7}(\lambda^3 5\lambda^2 + 10\lambda 7)^{n_7}$ , and **d.**  $P(L_{n_8}) = \lambda(\lambda 1)(\lambda 2)^{n_8}(\lambda 3)^{n_8}(\lambda^2 3\lambda + 3)^{n_8}$ .

Besides the above graphs, the following are special types of ladder-types graphs. These are actually obtained by appending the ladder graph  $L_n$  to the graphs  $L_{n_1}, L_{n_2}, L_{n_3}$ , and  $L_{n_4}$ .



We shall give the chromatic polynomials of these graphs as a corollary of the general result:

**Theorem 1.7.** If a graph G is obtained by appending  $L_n$  to a graph  $G_1$  such that they share nothing except just one edge, then

$$P(G) = (\lambda^2 - 3\lambda + 3)^n P(G_1).$$

**Corollary 1.8.** a.  $P(L_{n_1,n}) = \lambda(\lambda - 1)(\lambda - 2)^{2n_1} [\lambda^2 - 3\lambda + 3]^n$ . b.  $P(L_{n_2,n}) = \lambda(\lambda - 1)(\lambda - 2)^{4n_2} [\lambda^2 - 3\lambda + 3]^n$ . c.  $P(L_{n_3,n}) = \lambda(\lambda - 1)(\lambda^3 - 5\lambda^2 + 10\lambda - 7)^{n_3} [\lambda^2 - 3\lambda + 3]^n$ . **d.**  $P(L_{n_4,n}) = \lambda(\lambda - 1)(\lambda - 2)^{n_4}(\lambda - 3)^{n_4} [\lambda^2 - 3\lambda + 3]^n$ .

If  $L_n$  is sandwiched between a ladder-type graph  $L_{n_i}$ ,  $1 \le i \le 4$ , then then we shall denote the resultant ladder-type graph by  $L_{n_i,n,n_i}$ . The chromatic polynomials of the following graphs are given in a lemma:



Lemma 1.9. a.  $P(L_{n_1,n,n_1}) = (\lambda - 2)^{2n_1} P(L_{n_1,n}).$ b.  $P(L_{n_2,n,n_2}) = (\lambda - 2)^{4n_2} P(L_{n_2,n}).$ c.  $P(L_{n_3,n,n_3}) = (\lambda^2 - 5\lambda + 10\lambda - 7)^{n_3} P(L_{n_3,n}).$ d.  $P(L_{n_4,n,n_4}) = (\lambda - 2)^{n_4} (\lambda - 3)^{n_4} P(L_{n_4,n}).$ 

The more general ladder-type graphs appear when  $L_n$  is sandwiched k times in  $L_{n_i}$ ,  $1 \le i \le 4$ . We denote these graphs by  $L_{n_1,n,n_1,\dots,n_1,n,n_1}$ ,  $L_{n_2,n,n_2,\dots,n_2,n,n_2}$ ,  $L_{n_3,n,n_3,\dots,n_3,n,n_3}$ , and  $L_{n_4,n,n_4,\dots,n_4,n,n_4}$ , and present their chromatic polynomials in the theorem:

**Theorem 1.10.** a.  $P(L_{n_1,n,n_1,\cdots,n_1,n,n_1}) = \lambda(\lambda-1)(\lambda-2)^{2(k+1)n_1}(\lambda^2-3\lambda+3)^{kn}$ .

- **b.**  $P(L_{n_2,n,n_2,\cdots,n_2,n,n_2}) = \lambda(\lambda-1)(\lambda-2)^{4(k+1)n_2}(\lambda^2-3\lambda+3)^{kn}$ .
- c.  $P(L_{n_3,n,n_3,\cdots,n_3,n,n_3}) = \lambda(\lambda-1)(\lambda^2 5\lambda + 10\lambda 7)^{(k+1)n_3}(\lambda^2 3\lambda + 3)^{kn_3}$
- **d.**  $P(L_{n_4,n,n_4,\cdots,n_4,n,n_4}) = \lambda(\lambda-1)(\lambda-2)^{(k+1)n_4}(\lambda-3)^{(k+1)n_4}(\lambda^2-3\lambda+3)^{kn}$ .

The chromatic equivalence and chromatic uniqueness of these graphs are reflected in the theorem:

# **Theorem 1.11.** a. Neither two of $L_n, L_{n_1}, L_{n_3}$ , and $L_{n_4}$ are chromatically equivalent.

- **b.**  $L_{n_1}$  and  $L_{n_2}$  are chromatically equivalent if  $n_1 = 2n_2$ , but are not chromatically unique.
- **c.**  $L_{n_1,n}, L_{n_3,n}$ , and  $L_{n_4,n}$  are not chromatically unique.

### 2. Proofs

This section contains the proofs of the results we got.

Proof of Theorem 1.5(c). We proceed by induction on  $n_3$ . For  $n_3 = 1$ , we get  $P(\square) = P(\square) - P(\square) = P(\square) - P(\square) + P(\square) = P(\square) = P(\square) + P(\square) = P(\square$ 

$$\begin{split} (\lambda - 2)P(\overrightarrow{V}) + P(\overrightarrow{O}) - P(\overrightarrow{V}) &= (\lambda - 2)(P(\overrightarrow{V}) - P(\overrightarrow{V})) + \\ P(\overrightarrow{O}) - P(\overrightarrow{O}) &= (\lambda - 2)(P(\overrightarrow{V}) - P(\overrightarrow{V}) - P(\overrightarrow{V})) + P(\overrightarrow{D})) + (\lambda - 1)(P(\overrightarrow{O})) \\ + (\lambda - 2)P(\overrightarrow{V}) + P(\overrightarrow{V}) - P(\overrightarrow{V}) + P(\overrightarrow{V})) + (\lambda - 1)(P(\overrightarrow{O})) - \\ P(\overrightarrow{O})) &= (\lambda - 2)((\lambda - 2)P(\overrightarrow{V}) + P(\overrightarrow{V}) - P(\overrightarrow{O})) + (\lambda - 1)(P(\overrightarrow{O}) - P(\overrightarrow{O})) \\ + (\lambda - 2)((\lambda - 2)(\lambda - 1)P(\overrightarrow{V}) + P(\overrightarrow{O})) + (\lambda - 1)(\lambda^2 - \lambda)) = (\lambda - 2)((\lambda - 2)(\lambda - 1)P(\overrightarrow{V}) + P(\overrightarrow{V}))) + (\lambda - 1)P(\overrightarrow{O}) = (\lambda - 2)((\lambda - 2)(\lambda - 1)P(\overrightarrow{V}) + P(\overrightarrow{V}))) \\ + (\lambda - 1)P(\overrightarrow{O}) = (\lambda - 2)((\lambda - 2)(\lambda - 1)P(\overrightarrow{V}) + P(\overrightarrow{V}))) + (\lambda - 1)P(\overrightarrow{O}) = (\lambda - 2)((\lambda - 2)P(\overrightarrow{V}) + P(\overrightarrow{V})) + (\lambda - 1)(P(\overrightarrow{O}) - P(\overrightarrow{V}))) \\ = (\lambda - 2)((\lambda - 2)(\lambda - 1)(2(\lambda - 1)(P(\overrightarrow{O}) - P(\overrightarrow{V})))) \\ + (\lambda - 1)(P(\overrightarrow{V}) - P(\overrightarrow{V})) + (\lambda - 1)(P(\overrightarrow{O}) - P(\overrightarrow{V}))) \\ = (\lambda - 2)((\lambda - 2)(\lambda - 1)(\lambda^2 - \lambda)) \\ + (\lambda - 1)(\lambda^2 - \lambda)) \\ + (\lambda - 1)(\lambda^2 - \lambda) = (\lambda - 2)((\lambda - 2)(\lambda - 1)(\lambda^2 - \lambda)) + \lambda(\lambda - 1)^2 \\ = \lambda(\lambda - 1)(\lambda^3 - 5\lambda^2 + 10\lambda - 7). \end{split}$$

Now with the assumption that the result holds for an arbitrary  $n_3$ , we have

$$\begin{split} P(L_{n_{3}+1}) &= P(A - A - A) = P(A - A) =$$

$$\begin{pmatrix} (\lambda-2)(\lambda^2-3\lambda+3)+(\lambda-1) \end{pmatrix} P( \overbrace{-} \overbrace{-} \overbrace{-} ) = (\lambda^3-3\lambda^2+3\lambda-2\lambda^2+6\lambda-6+\lambda-1)P(L_{n_3}) = (\lambda^3-5\lambda^2+10\lambda-7)\left(\lambda(\lambda-1)(\lambda^3-5\lambda^2+10\lambda-7)^{n_3}\right) = \lambda(\lambda-1)(\lambda^3-5\lambda^2+10\lambda-7)^{n_3+1},$$
as was required.

Proofs of the parts (a), (b), and (d) are similar.

*Proof of Proposition 1.6.* Here we give only the proof of part (d); other parts cab be proved similarly.

We again prove it by induction on 
$$n_8$$
. For  $n_8 = 1$  we have  
 $P( \checkmark) = P( \checkmark) - P( \checkmark) = P( \checkmark) - P( \checkmark) - P( \land) - P( \land) - P( \land) + P( \land) = (\lambda - 2)P( \land) + P( \land) - P( \land) - P( \land) = (\lambda - 2)P( \land) - P( \land) + P( \land) = (\lambda - 2)P( \land) - P( \land) + P( \land) = (\lambda - 2)P( \land) =$ 

Now with the assumption that the result holds for an arbitrary  $n_8$ , we have



$$-P(\square \square \square \square) - P(\square \square \square) + P(\square \square \square))$$

$$= (\lambda^{2} - 3\lambda + 3) \left( P(\square \square \square) - P(\square \square \square) \right)$$

$$-P(\square \square \square) + P(\square \square \square)$$

$$-P(\square \square \square) + P(\square \square \square)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) P(\square \square \square)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) - P(\square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) - P(\square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) - P(\square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) - P(\square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3) \left( P(\square \square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3)(\lambda - 2) P(\square \square \square) \right)$$

$$= (\lambda^{2} - 3\lambda + 3)(\lambda - 3)(\lambda - 2) P(\square \square \square) = (\lambda^{2} - 3\lambda + 3)(\lambda - 3)(\lambda - 2) \left( \lambda(\lambda - 1)(\lambda - 2)^{n_{s}}(\lambda - 3)^{n_{s}}(\lambda^{2} - 3\lambda + 3)^{n_{s}} \right) = \lambda(\lambda - 1)(\lambda - 2)^{n_{s}+1}(\lambda - 3)^{n_{s}+1}.$$

Proof of Theorem 1.7. We proceed by induction on n: For n = 1, we get

$$P(\begin{array}{c} G_{1} \\ G_{1} \\ \end{array}) = P(\begin{array}{c} G_{1} \\ \bullet \end{array}) - P(\begin{array}{c} G_{1} \\ \bullet \end{array}) = P(\begin{array}{c} G_{1} \\ \bullet \end{array}) = P(\begin{array}{c} G_{1} \\ \bullet \end{array}) - P(\begin{array}{c} G_{1} \\ \bullet \end{array}) - P(\begin{array}{c} G_{1} \\ \bullet \end{array}) = P(\begin{array}{c} G_{1} \\ \bullet \end{array}) - P(\begin{array}{c} G_{1} \\ \bullet \end{array}) = P(\begin{array}{c} G_{1} \\ \bullet \end{array}) - P(\begin{array}{c} G_{1} \\ \bullet \end{array}) = P(\begin{array}{c} G_{1} \\$$

Suppose the result holds for n = k, that is

$$P(\overset{\mathbf{G}_1}{\frown}) = (\lambda^2 - 3\lambda + 1)^k P(G_1).$$

Now for n = k + 1, we have





as was required.

Proof of Lemma 1.9. We give only proof of part (b), which is the most difficult; other parts have similar proofs.

Instead of the long ladder



we shall use a short form as



$$= (\lambda - 2)^{3} P(\underbrace{n_{2} \quad n}) = (\lambda - 2)^{3} \left[ P(\underbrace{n_{2} \quad n}) - P(\underbrace{n_{2} \quad n}) \right]$$
$$= (\lambda - 2)^{3} \left[ P(\underbrace{n_{2} \quad n}) - P(\underbrace{n_{2} \quad n}) - P(\underbrace{n_{2} \quad n}) + P(\underbrace{n_{2} \quad n}) \right]$$
$$= (\lambda - 2)^{4} P(\underbrace{n_{2} \quad n}).$$

Now suppose the result holds when the appended ladder  $(L_{n_2})$  has k units, that is

$$P(L_{n_2,n,k}) = P(\underbrace{\begin{array}{c} \mathbf{n}_2 & \mathbf{n} \\ \mathbf{n}_2 & \mathbf{n} \end{array}}) = (\lambda - 2)^{4k} P(\underbrace{\begin{array}{c} \mathbf{n}_2 & \mathbf{n} \\ \mathbf{n}_2 & \mathbf{n} \end{array}).$$

If the appended ladder has k + 1 units, then we receive





which is the desired result.

*Proof of Theorem 1.10.* In each case, apply recursively Lemma 1.9 and Theorem 1.5 k times and then use  $P(L_{n_i})$ . 

Proof of Theorem 1.11. 1. Obvious; just see Theorem 1.5.

- 2. Simply observe that if  $n_1 = 2n_2$ , then  $P(L_{n_1}) = P(L_{n_2})$ . These are not chromatically unique because  $L_{n_1} \ncong L_{n_2}$ ; just observe that there are vertices of degree 5 in  $L_{n_2}$  but are not in  $L_{n_1}$ .
- 3. It is obvious; simply observe that  $P(L_{n_1,n}) = P(L_{n_5}), P(L_{n_2,n}) =$  $P(L_{n_6}), P(L_{n_3,n}) = P(L_{n_7}), \text{ and } P(L_{n_4,n}) = P(L_{n_8}) \text{ while } L_{n_1,n} \ncong$  $L_{n_5}, L_{n_2,n} \ncong L_{n_6}, L_{n_3,n} \ncong L_{n_7}, \text{ and } L_{n_4,n} \ncong L_{n_8}.$

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