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# Lorentz hypersurfaces in $\mathbb{E}_1^{n+1}$ satisfying $\Delta \vec{H} = \lambda \vec{H}$ with at most three distinct principal curvatures

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## Abstract

This paper is a continuation of our paper [J. Math. Anal. Appl. 419 (2014) 562–573], where we investigate hypersurface  $M_r^n$  of pseudo-Euclidean space  $\mathbb{E}_s^{n+1}$  satisfying  $\Delta \vec{H} = \lambda \vec{H}$ , and show that if  $M_r^n$  has diagonalizable shape operator with at most three distinct principal curvatures, then it has constant mean curvature. In this paper, we prove that the same conclusion remains true for such Lorentz hypersurfaces with non-diagonalizable shape operators.

*Keywords:* pseudo-Euclidean space, Lorentz hypersurface, proper mean curvature vector field, shape operator, constant mean curvature

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## 1. Introduction

Let  $x : M_r^n \rightarrow \mathbb{E}_s^{n+1}$  be an isometric immersion of a pseudo-Riemannian hypersurface  $M_r^n$  into a pseudo-Euclidean space  $\mathbb{E}_s^{n+1}$ . Denote by  $\vec{H}$  and  $\Delta$  the mean curvature vector field of  $M_r^n$  and the Laplace operator of  $M_r^n$  with respect to the induced metric.

If the hypersurface  $M_r^n$  satisfies the equation

$$\Delta \vec{H} = \lambda \vec{H}$$

for some real constant  $\lambda$ , then it is said to have *proper mean curvature vector field*. This equation is a natural generalization of the biharmonic submanifold equation  $\Delta \vec{H} = 0$ .

Under the assumption that the shape operator of  $M_r^n$  is diagonalizable, we proved in [1] that the hypersurface  $M_r^n$  with proper mean curvature vector field and at most three distinct principal curvatures has constant mean curvature.

It is known from [5, 6] that, for Lorentz hypersurface, the shape operator has three possible non-diagonalizable forms except for diagonalizable ones. So, in this paper, we show that the same conclusion in [1] remains true for these three cases and prove the following result.

**Main Theorem** *Let  $M_1^n$  ( $n \geq 4$ ) be a nondegenerate Lorentz hypersurface with proper mean curvature vector field in  $(n + 1)$ -dimensional pseudo-Euclidean space  $\mathbb{E}_1^{n+1}$ . Suppose that  $M_1^n$  has at most three distinct principal curvatures, then it has constant mean curvature.*

When  $n = 3$ , it is true automatically that  $M_1^3$  has at most three distinct principal curvatures. In this case, the result has been proved by A. Arvanitoyeorgos et al. in [2, Theorem].

## 2. Preliminaries

### 2.1. Lorentz hypersurface in $\mathbb{E}_1^{n+1}$

A non-zero vector  $X$  in  $\mathbb{E}_1^{n+1}$  is called *time-like*, *space-like* or *light-like*, according to whether  $\langle X, X \rangle$  is negative, positive or zero.

Let  $M_1^n$  be a nondegenerate Lorentzian hypersurface in  $\mathbb{E}_1^{n+1}$ ,  $\vec{\xi}$  denote a unit normal vector field to  $M_1^n$ , then  $\langle \vec{\xi}, \vec{\xi} \rangle = 1$ , i.e. the normal vector to  $M_1^n$  is space-like.

Denote by  $\nabla$  and  $\tilde{\nabla}$  the Levi-Civita connections of  $M_1^n$  and  $\mathbb{E}_1^{n+1}$  respectively. For any vector fields  $X, Y$  tangent to  $M_1^n$ , the Gauss formula is given by

$$\tilde{\nabla}_X Y = \nabla_X Y + h(X, Y) \vec{\xi},$$

where  $h$  is the scalar-valued second fundamental form. If we denote by  $A$  the shape operator of  $M_1^n$  associated to  $\vec{\xi}$ , the Weingarten formula is given by

$$\tilde{\nabla}_X \vec{\xi} = -A(X),$$

where  $\langle A(X), Y \rangle = h(X, Y)$ . The mean curvature vector  $\vec{H} = H \vec{\xi}$  with  $H = \frac{1}{n} \text{tr} A$ , determines a well defined normal vector field to  $M_1^n$  in  $\mathbb{E}_1^{n+1}$ . The

Codazzi and Gauss equations are given by (cf. [3])

$$(\nabla_X A)Y = (\nabla_Y A)X, \quad (1)$$

$$R(X, Y)Z = \langle A(Y), Z \rangle A(X) - \langle A(X), Z \rangle A(Y), \quad (2)$$

where

$$R(X, Y)Z = \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]}Z.$$

According to [4], a hypersurface  $M_1^n$  of  $\mathbb{E}_1^{n+1}$  is said to have proper mean curvature vector field, if and only if the following two equations hold:

$$A(\nabla H) = -\frac{n}{2}H(\nabla H), \quad (3)$$

$$\Delta H + H \operatorname{tr} A^2 = \lambda H, \quad (4)$$

where the Laplace operator  $\Delta$  acting on scalar-valued function  $f$  is given by

$$\Delta f = -\sum_{i=1}^n \varepsilon_i (e_i e_i f - \nabla_{e_i} e_i f),$$

with  $\{e_i\}_{i=1}^n$  be a local orthonormal frame such that  $\langle e_i, e_i \rangle = \varepsilon_i = \pm 1$ .

## 2.2. The shape operator of $M_1^n$

Except for diagonalizable ones, the shape operator of the Lorentz hypersurface  $M_1^n$  in  $\mathbb{E}_1^{n+1}$  has three possible non-diagonalizable forms with respect to a frame at  $T_x M_1^n$  (cf. [5], [6]):

$$\begin{aligned} \text{(I)} \quad A &= \begin{pmatrix} \mu & 0 & & \\ 1 & \mu & & \\ & & D_{n-2} & \\ & & & \end{pmatrix}, & G &= \begin{pmatrix} 0 & 1 & & \\ 1 & 0 & & \\ & & I_{n-2} & \\ & & & \end{pmatrix}, \\ \text{(II)} \quad A &= \begin{pmatrix} \mu & 0 & 0 & \\ 0 & \mu & 1 & \\ 1 & 0 & \mu & \\ & & & D_{n-3} \end{pmatrix}, & G &= \begin{pmatrix} 0 & 1 & & \\ 1 & 0 & & \\ & & I_{n-2} & \\ & & & \end{pmatrix}, \\ \text{(III)} \quad A &= \begin{pmatrix} \xi & -\eta & & \\ \eta & \xi & & \\ & & D_{n-2} & \\ & & & \end{pmatrix}, & G &= \begin{pmatrix} -1 & & & \\ & I_{n-1} & & \end{pmatrix}, \eta \neq 0, \end{aligned}$$

where  $D_{n-2} = \operatorname{diag}\{\lambda_3, \dots, \lambda_n\}$ ,  $D_{n-3} = \operatorname{diag}\{\lambda_4, \dots, \lambda_n\}$  and  $I$  the identity matrix.

The matrix  $G$  for case (III) is referred to an orthonormal basis  $\{e_1, \dots, e_n\}$  of  $T_x M_1^n$ , i.e.,

$$\langle e_1, e_1 \rangle = -1, \quad \langle e_i, e_j \rangle = \delta_{ij}, \quad \langle e_1, e_i \rangle = 0, \quad 2 \leq i, j \leq n.$$

However the matrices  $G$  for cases (I) and (II) are referred to a pseudo-orthonormal basis  $\{u_1, u_2, \dots, u_n\}$ , i.e. a basis of  $T_x M_1^n$  such that

$$\begin{aligned} \langle u_1, u_2 \rangle &= \langle u_i, u_i \rangle = 1 \quad \text{for } 3 \leq i \leq n, \\ \langle u_1, u_1 \rangle &= \langle u_2, u_2 \rangle = \langle u_i, u_j \rangle = 0 \quad \text{for } 3 \leq i \neq j \leq n. \end{aligned}$$

For the forms (I) and (II), we can express

$$\nabla H = u_2(H)u_1 + u_1(H)u_2 + u_3(H)u_3 + \dots + u_n(H)u_n. \quad (5)$$

Let  $\nabla_{u_B} u_C = \sum_{D=1}^n \omega_{BC}^D u_D$ . Applying compatibility conditions to calculate

$$\nabla_{u_D} \langle u_1, u_1 \rangle, \nabla_{u_D} \langle u_2, u_2 \rangle, \nabla_{u_D} \langle u_B, u_B \rangle,$$

and

$$\nabla_{u_D} \langle u_1, u_2 \rangle, \nabla_{u_D} \langle u_1, u_B \rangle, \nabla_{u_D} \langle u_2, u_B \rangle, \nabla_{u_D} \langle u_B, u_C \rangle,$$

respectively, we conclude

$$\omega_{D1}^2 = \omega_{D2}^1 = \omega_{DB}^B = 0, \quad (6)$$

and

$$\omega_{D1}^1 = -\omega_{D2}^2, \quad \omega_{D1}^B = -\omega_{DB}^2, \quad \omega_{D2}^B = -\omega_{DB}^1, \quad \omega_{DC}^B = -\omega_{DB}^C, \quad (7)$$

for  $B, C \neq 1, 2$  and  $1 \leq D \leq n$ . These two equations (6) and (7) will be used many times in Sections 3 and 4.

In the following, we will prove our Main Theorem according to each of the non-diagonalizable forms (I), (II) and (III), and refer readers to [1] when  $M_1^n$  has diagonalizable shape operator.

### 3. When the shape operator has the canonical form (I)

**Theorem 3.1** *Let  $M_1^n$  ( $n \geq 4$ ) be a nondegenerate Lorentzian hypersurface of the pseudo-Euclidean space  $\mathbb{E}_1^{n+1}$  satisfying  $\Delta \vec{H} = \lambda \vec{H}$  ( $\lambda$  a real constant). Suppose that the shape operator of  $M_1^n$  has the form (I) and  $M_1^n$  has at most three distinct principal curvatures, then  $M_1^n$  has constant mean curvature.*

In view of the canonical form (I) with respect to the pseudo-orthonormal basis  $\mathfrak{B} = \{u_1, u_2, \dots, u_n\}$ , we have

$$A(u_1) = \mu u_1 + u_2, \quad A(u_2) = \mu u_2, \quad A(u_3) = \lambda_3 u_3, \quad \dots, \quad A(u_n) = \lambda_n u_n. \quad (8)$$

In order to prove Theorem 3.1, we start with the Codazzi equation

$$\langle (\nabla_X A)Y, Z \rangle = \langle (\nabla_Y A)X, Z \rangle.$$

After straightforward calculations, combining (6) and (7), we get the following equations:

For  $X = u_1, Y = u_2, Z = u_1$ ,

$$u_1(\mu) = 2\omega_{22}^2. \quad (9)$$

For  $X = u_1, Y = u_2, Z = u_2$ ,

$$u_2(\mu) = 0. \quad (10)$$

For  $X = u_1, Y = u_2, Z = u_B, B \neq 1, 2$ ,

$$(\mu - \lambda_B)(\omega_{12}^B - \omega_{21}^B) = \omega_{22}^B. \quad (11)$$

For  $X = u_1, Y = u_B, Z = u_2, B \neq 1, 2$ ,

$$u_B(\mu) = (\lambda_B - \mu)\omega_{1B}^1. \quad (12)$$

For  $X = u_1, Y = u_B, Z = u_C, B, C \neq 1, 2$ ,

$$u_1(\lambda_B) = (\mu - \lambda_B)\omega_{B1}^B + \omega_{B2}^B, \quad (13)$$

$$(\lambda_B - \lambda_C)\omega_{1B}^C = (\mu - \lambda_C)\omega_{B1}^C + \omega_{B2}^C. \quad (14)$$

For  $X = u_2, Y = u_B, Z = u_1, B \neq 1, 2$ ,

$$u_B(\mu) = (\lambda_B - \mu)\omega_{2B}^2 - \omega_{2B}^1. \quad (15)$$

For  $X = u_2, Y = u_B, Z = u_2, B \neq 1, 2$ ,

$$(\lambda_B - \mu)\omega_{2B}^1 = 0. \quad (16)$$

For  $X = u_2, Y = u_B, Z = u_C, B, C \neq 1, 2$ ,

$$u_2(\lambda_B) = (\mu - \lambda_B)\omega_{B2}^B, \quad (17)$$

$$(\lambda_B - \lambda_C)\omega_{2B}^C = (\mu - \lambda_C)\omega_{B2}^C. \quad (18)$$

For  $X = u_B, Y = u_C, Z = u_2, B, C \neq 1, 2$ ,

$$(\lambda_C - \mu)\omega_{BC}^1 = (\lambda_B - \mu)\omega_{CB}^1. \quad (19)$$

For  $X = u_B, Y = u_C, Z = u_D, B, C, D \neq 1, 2$ ,

$$u_B(\lambda_C) = (\lambda_B - \lambda_C)\omega_{CB}^C, \quad B \neq C \quad (20)$$

$$(\lambda_C - \lambda_D)\omega_{BC}^D = (\lambda_B - \lambda_D)\omega_{CB}^D, \quad D \neq B, C. \quad (21)$$

**Proof** (of Theorem 3.1) Assume that  $H$  is not a constant, then (3) tells us that  $\nabla H$  is an eigenvector of  $A$  with corresponding eigenvalue  $-\frac{n}{2}H$ . In view of (8),  $\nabla H$  can be chosen in the direction of  $u_2$  (light-like), or in one of the directions  $u_3, \dots, u_n$  (space-like). We will prove that either of cases will lead to a contradiction, then complete the proof of Theorem 3.1

*Case 1:  $\nabla H$  is space-like.*

Without loss of generality, we can choose  $u_n$  in the direction of  $\nabla H$ , so  $\lambda_n = -\frac{n}{2}H$ , and (5) implies that

$$u_n(H) \neq 0, \quad u_1(H) = u_2(H) = \dots = u_{n-1}(H) = 0. \quad (22)$$

Observe  $(\nabla_{u_B} u_C - \nabla_{u_C} u_B)(H) = [u_B, u_C](H)$ , using (22), we have

$$\omega_{BC}^n = \omega_{CB}^n, \quad B, C \neq n. \quad (23)$$

If  $\mu = \lambda_n$  or  $\lambda_C = \lambda_n$ , by (12) and (20) with  $B = n$ , we have  $u_n(\lambda_n) = 0$ . It follows that  $u_n(H) = 0$ , a contradiction. So  $\lambda_n$  is simple, i.e.

$$\mu \neq \lambda_n, \quad \lambda_C \neq \lambda_n, \quad 3 \leq C \leq n-1. \quad (24)$$

Under the assumption that  $M_1^n$  has at most three distinct principal curvatures, combining (24), the form (I) can be written as

$$(I) \quad A = \begin{pmatrix} \mu & 0 & & & & \\ 1 & \mu & & & & \\ & & D_{t-2}(\mu) & & & \\ & & & D_{n-t-1}(\nu) & & \\ & & & & & \lambda_n \end{pmatrix},$$

where  $2 \leq t \leq n-1$ ,  $D_{t-2}(\mu) = \text{diag}\{\mu, \dots, \mu\}$ ,  $D_{n-t-1}(\nu) = \text{diag}\{\nu, \dots, \nu\}$  and  $\mu, \nu, \lambda_n$  are mutually distinct principal curvatures of  $M_1^n$  with multiplicities  $t, n-t-1$  and 1 respectively.

When  $t = n-1$ , i.e.  $M_1^n$  has two distinct principal curvatures, we will explain this situation in Remark 1.

In the following, we suppose  $2 \leq t \leq n-2$ , i.e.  $M_1^n$  has three distinct principal curvatures. Since  $H = \frac{1}{n}\text{tr}A$  and  $\lambda_n = -\frac{n}{2}H$ , it follows that  $\nu = \frac{\frac{3}{2}nH-t\mu}{n-t-1}$ .

In this case, we shall make use of the following convention for the range of indices:  $1 \leq B, C, D \leq n$ ,  $3 \leq i, j, k \leq t$ ,  $t+1 \leq a, b, c \leq n-1$ , and  $B, C, D, i, j, k, a, b, c$  are distinct. When  $t = 2$ , the terms about  $i, j, k$  are disappear.

**Lemma 3.2** *We have the following results about  $\{\omega_{BC}^D\}$ :*

$$\begin{aligned} \omega_{2a}^1 = \omega_{2n}^1 = \omega_{ia}^1 = \omega_{in}^1 = \omega_{2i}^a = \omega_{a2}^b = \omega_{ai}^b = \omega_{an}^b = \omega_{ia}^j = \omega_{in}^j = 0, \\ \omega_{nB}^n = 0, \quad \omega_{aD}^n = 0, D \neq a, \quad \omega_{1i}^a = \omega_{i1}^a, \quad u_1(\mu) = \omega_{i2}^i, \end{aligned}$$

$$u_1(\nu) = (\mu - \nu)\omega_{a1}^a + \omega_{a2}^a, \quad u_2(\nu) = (\mu - \nu)\omega_{a2}^a, \quad u_i(\nu) = (\mu - \nu)\omega_{ai}^a, \quad (25)$$

$$\omega_{1a}^1 = \omega_{2a}^2 = \dots = \omega_{ta}^t = \frac{u_a(\mu)}{\nu - \mu}, \quad (26)$$

$$\begin{cases} \omega_{1n}^1 = \omega_{2n}^2 = \dots = \omega_{tn}^t = \frac{u_n(\mu)}{-\frac{n}{2}H - \mu}, \\ \omega_{(t+1)n}^{t+1} = \dots = \omega_{(n-1)n}^{n-1} = \frac{u_n(\nu)}{-\frac{n}{2}H - \nu}. \end{cases} \quad (27)$$

**Proof** It follows from (16) that  $\omega_{2a}^1 = \omega_{2n}^1 = 0$ , together with the equations (12), (15) and (20) for  $B = a, n$ , we get (26) and (27).

Using equations (13), (17) for  $B = a, n$ , (20) for  $C = a, n$  and (22), we have (25) and  $\omega_{nB}^n = 0$ . Combining (7), (18) and (19) give  $\omega_{in}^1 = \omega_{ia}^1 = \omega_{2i}^a = \omega_{a2}^b = 0$ . Observe (14), combining  $\omega_{ia}^1 = 0$  and (7), we have  $\omega_{1i}^a = \omega_{i1}^a$ .

Note that  $u_1(\mu) = \omega_{i2}^i$  by (13). Then we have from (14), (18) and (21) that

$$\begin{cases} (\nu + \frac{n}{2}H)\omega_{1a}^n = (\mu + \frac{n}{2}H)\omega_{a1}^n + \omega_{a2}^n \\ (\nu + \frac{n}{2}H)\omega_{2a}^n = (\mu + \frac{n}{2}H)\omega_{a2}^n, \\ (\nu + \frac{n}{2}H)\omega_{ia}^n = (\mu + \frac{n}{2}H)\omega_{ai}^n, \end{cases}$$

which together with (23) implies that

$$\omega_{2a}^n = \omega_{a2}^n = \omega_{ia}^n = \omega_{ai}^n = \omega_{1a}^n = \omega_{a1}^n = 0.$$



Finally, it follows from (21) that  $\omega_{ai}^b = \omega_{an}^b = \omega_{ia}^j = \omega_{in}^j = 0$ .  $\square$

**Lemma 3.3** *We have*

$$-(t\omega_{1n}^1 + (n-t-1)\omega_{(n-1)n}^{n-1})u_n(H) - u_n u_n(H) + H\text{tr}A^2 = \lambda H, \quad (28)$$

where

$$\text{tr}A^2 = \frac{t(n-1)}{n-t-1}\mu^2 - \frac{3nt}{n-t-1}H\mu + \frac{n-t+8}{4(n-t-1)}n^2H^2. \quad (29)$$

**Proof** Based upon the pseudo-orthonormal basis  $\mathfrak{B} = \{u_1, u_2, \dots, u_n\}$ , we can construct an orthonormal basis  $\mathfrak{E} = \{e_1, e_2, \dots, e_n\}$ , given by

$$e_1 = (u_1 - u_2)/\sqrt{2}, \quad e_2 = (u_1 + u_2)/\sqrt{2}, \quad e_3 = u_3, \quad \dots, \quad e_n = u_n. \quad (30)$$

The shape operator  $A$  with respect to this new basis  $\mathfrak{E}$  takes the form

$$A = \begin{pmatrix} \mu - \frac{1}{2} & -\frac{1}{2} & & & & \\ \frac{1}{2} & \mu + \frac{1}{2} & & & & \\ & & D_{t-2}(\mu) & & & \\ & & & D_{n-t-1}(\nu) & & \\ & & & & & \lambda_n \end{pmatrix},$$

and a straightforward calculation gives (29).

With the orthonormal basis  $\mathfrak{E}$ , we express  $\nabla H = -e_1(H)e_1 + e_2(H)e_2 + \dots + e_n(H)e_n$ . Therefore,

$$e_n(H) \neq 0, \quad e_1(H) = e_2(H) = \dots = e_{n-1}(H) = 0.$$

It follows from (4) that

$$-\nabla_{e_1}e_1(H) + \nabla_{e_2}e_2(H) + \dots + \nabla_{e_n}e_n(H) - e_n e_n(H) + H\text{tr}A^2 = \lambda H. \quad (31)$$

According to (30), we easily obtain

$$\begin{aligned} \nabla_{e_1}e_1(H) &= \frac{1}{2}(\omega_{11}^n - \omega_{21}^n - \omega_{12}^n + \omega_{22}^n)u_n(H), \\ \nabla_{e_2}e_2(H) &= \frac{1}{2}(\omega_{11}^n + \omega_{21}^n + \omega_{12}^n + \omega_{22}^n)u_n(H), \\ \nabla_{e_B}e_B(H) &= \nabla_{u_B}u_B(H) = \omega_{BB}^n u_n(H), \quad 3 \leq B \leq n-1, \\ \nabla_{e_n}e_n(H) &= \nabla_{u_n}u_n(H) = 0. \end{aligned}$$

Using these equations, combining (7) and (27), then (31) can be simplified to (28) and the lemma follows.  $\square$

**Lemma 3.4** *Suppose that  $H$  is not a constant. Then, for  $1 \leq B \leq n-1$ ,*

$$u_B(\mu) = 0.$$

**Proof** From (10) and (12), we easily get  $u_2(\mu) = u_3(\mu) = \cdots = u_t(\mu) = 0$ . In the following, we need to prove: (i)  $u_1(\mu) = 0$ . (ii)  $u_{t+1}(\mu) = \cdots = u_{n-1}(\mu) = 0$ .

(i) *To prove  $u_1(\mu) = 0$ .*

Since  $[u_B, u_n] = \nabla_{u_B} u_n - \nabla_{u_n} u_B$ , using (6), (22) and  $\omega_{nB}^n = 0$  (see Lemma 3.2), we have

$$u_B u_n(H) = 0, \quad u_B u_n u_n(H) = 0, B \neq n. \quad (32)$$

As  $u_2(\mu) = u_3(\mu) = \cdots = u_t(\mu) = 0$ , (25) implies that

$$\omega_{(n-1)2}^{n-1} = \omega_{(n-1)3}^{n-1} = \cdots = \omega_{(n-1)t}^{n-1} = 0 \quad (33)$$

and

$$\omega_{(n-1)1}^{n-1} = \frac{u_1(\nu)}{\mu - \nu}. \quad (34)$$

Using Gauss equation for  $\langle R(u_{n-1}, u_1)u_n, u_{n-1} \rangle$ , combining (6), (7), (23), (33) and Lemma 3.2, we obtain

$$u_1(\omega_{(n-1)n}^{n-1}) = (\omega_{1n}^1 - \omega_{(n-1)n}^{n-1})\omega_{(n-1)1}^{n-1}. \quad (35)$$

Putting  $\omega_{(n-1)n}^{n-1} = -\frac{u_n(\nu)}{\frac{n}{2}H + \nu}$  (see Eq. (27)) and (34) into (35) gives

$$u_1 u_n(\nu) = -\left(\frac{n}{2}H + \nu\right)\omega_{1n}^1 \omega_{(n-1)1}^{n-1} + \frac{(t-3)nH + 2(n+t-1)\nu}{2t} \omega_{(n-1)n}^{n-1} \omega_{(n-1)1}^{n-1}.$$

Differentiating both sides of  $\omega_{1n}^1 = \frac{u_n(3nH-2(n-t-1)\nu)}{-(t+3)nH+2(n-t-1)\nu}$  (cf. Eq. (27)) along the direction  $u_1$ , using (33), (35) and the above equation, we have

$$u_1(\omega_{1n}^1) = \frac{(n-t-1)((t-3)nH + 2(n+t-1)\nu)}{-t(t+3)nH + 2(n-t-1)t\nu} (\omega_{1n}^1 - \omega_{(n-1)n}^{n-1}) \omega_{(n-1)1}^{n-1}. \quad (36)$$

In view of  $\nu = \frac{\frac{3}{2}nH - t\mu}{n-t-1}$  and (22), we know that  $u_1(\mu) = 0$  is equivalent to  $u_1(\nu) = 0$ . Suppose on the contrary that  $u_1(\nu) \neq 0$ , differentiating both

sides of (28) along the direction  $u_1$ , using (22), (32), (34), (35) and (36), we deduce that

$$\frac{4t(\omega_{1n}^1 - \omega_{(n-1)n}^{n-1})u_n(H)}{-(t+3)nH + 2(n-t-1)\nu} + \frac{-3nH^2 + 2(n-1)H\nu}{t} = 0.$$

By differentiating the above equation along  $u_1$ , with  $u_1(\nu) \neq 0$ , we get

$$\begin{aligned} \frac{2t(((n-1)(t-6) + 9t)nH + 4(n-t-1)(n-1)\nu)}{(-(t+3)nH + 2(n-t-1)\nu)^2(\frac{3}{2}nH - (n-1)\nu)}(\omega_{1n}^1 - \omega_{(n-1)n}^{n-1})u_n(H) \\ + \frac{(n-1)H}{t} = 0. \end{aligned}$$

Eliminating  $(\omega_{1n}^1 - \omega_{(n-1)n}^{n-1})u_n(H)$  from the above two equations yields

$$H(3nH - 2(n-1)\nu)^2 = 0.$$

Since  $H \neq 0$ , so  $\nu = \frac{3nH}{2(n-1)}$ , and then  $\mu = \frac{3nH}{2(n-1)}$ , which contradicts to  $\mu \neq \nu$ . So  $u_1(\nu) = 0$ , then  $u_1(\mu) = 0$ .

(ii) To prove  $u_{t+1}(\mu) = \cdots = u_{n-1}(\mu) = 0$ .

When  $t \neq n-2$ , the equation (20) implies  $u_{t+1}(\nu) = \cdots = u_{n-1}(\nu) = 0$ , thus,  $u_{t+1}(\mu) = \cdots = u_{n-1}(\mu) = 0$ . When  $t = n-2$ , we need only to prove  $u_{n-1}(\mu) = 0$ .

Calculating  $\langle R(u_i, u_{n-1})u_n, u_i \rangle$ ,  $\langle R(u_1, u_{n-1})u_n, u_2 \rangle$  by Gauss equation, using (6), (7), (23) and Lemma 3.2, we obtain

$$u_{n-1}(\omega_{in}^i) = (\omega_{(n-1)n}^{n-1} - \omega_{in}^i)\omega_{i(n-1)}^i + 2\omega_{(n-1)i}^1\omega_{1n}^i, \quad (37)$$

$$u_{n-1}(\omega_{1n}^1) = (\omega_{(n-1)n}^{n-1} - \omega_{1n}^1)\omega_{1(n-1)}^1 - \sum_{k=3}^t \omega_{(n-1)k}^1\omega_{1n}^k. \quad (38)$$

Taking sum in (37) for  $i$ , combining  $\omega_{in}^i = \omega_{1n}^1$  (cf. Eq. (27)) and  $\omega_{i(n-1)}^i = \omega_{1(n-1)}^1$  (cf. Eq. (26)), we get  $\sum_{k=3}^t \omega_{(n-1)k}^1\omega_{1n}^k = 0$ , and (38) then becomes

$$u_{n-1}(\omega_{1n}^1) = (\omega_{(n-1)n}^{n-1} - \omega_{1n}^1)\omega_{1(n-1)}^1. \quad (39)$$

Suppose on the contrary that  $u_{n-1}(\mu) \neq 0$ , taking  $t = n-2$  in (28) and differentiating along the direction  $u_{n-1}$ , making use of (22), (32), (39) and the formulas of  $\omega_{1n}^1$ ,  $\omega_{(n-1)n}^{n-1}$  and  $\omega_{1(n-1)}^1$  in Lemma 3.2, we deduce that

$$\frac{-2u_n(H)(\omega_{(n-1)n}^{n-1} - \omega_{1n}^1)}{2nH - (n-2)\mu} - 3nH^2 + 2(n-1)H\mu = 0.$$

Differentiating the above equation along  $u_{n-1}$ , we get

$$\frac{-(n(5n-14)H - 4(n-1)(n-2)\mu)}{(2nH - (n-2)\mu)^2(\frac{3}{2}nH - (n-1)\mu)}(\omega_{(n-1)n}^{n-1} - \omega_{1n}^1)u_n(H) + 2(n-1)H = 0.$$

Eliminating  $(\omega_{(n-1)n}^{n-1} - \omega_{1n}^1)u_n(H)$  yields  $H(3nH - 2(n-1)\mu) = 0$ . Then  $\mu = \nu = \frac{3nH}{2(n-1)}$ , which contradicts to  $\mu \neq \nu$ . So  $u_{n-1}(\mu) = 0$ .  $\square$

**Lemma 3.5** *Suppose that  $H$  is not a constant. Then we have*

$$u_n(\omega_{(n-1)n}^{n-1}) + (\omega_{(n-1)n}^{n-1})^2 = \frac{n}{2}H\frac{\frac{3}{2}nH - t\mu}{n-t-1}, \quad (40)$$

$$u_n(\omega_{1n}^1) + (\omega_{1n}^1)^2 = \frac{n}{2}H\mu, \quad (41)$$

$$\omega_{(n-1)n}^{n-1}\omega_{1n}^1 = \frac{t\mu^2 - \frac{3}{2}nH\mu}{n-t-1}. \quad (42)$$

**Proof** Using Gauss equation (2) for  $\langle R(u_n, u_{n-1})u_{n-1}, u_n \rangle$ , combining (6), (7), (23), Lemmas 3.2 and 3.4, it is not difficult to check (40).

Similarly, using Gauss equation for  $\langle R(u_n, u_1)u_2, u_n \rangle$ ,  $\langle R(u_n, u_i)u_i, u_n \rangle$ ,  $\langle R(u_{n-1}, u_1)u_{n-1}, u_2 \rangle$  and  $\langle R(u_{n-1}, u_i)u_{n-1}, u_i \rangle$ , by Lemmas 3.2 and 3.4, we obtain

$$u_n(\omega_{1n}^1) + (\omega_{1n}^1)^2 = -\sum_{k=3}^t \omega_{n2}^k \omega_{1k}^n + \frac{n}{2}H\mu, \quad (43)$$

$$u_n(\omega_{in}^i) + (\omega_{in}^i)^2 = -2\omega_{ni}^1 \omega_{1i}^n + \frac{n}{2}H\mu, \quad i = 3, \dots, t, \quad (44)$$

$$\omega_{(n-1)n}^{n-1}\omega_{1n}^1 + \sum_{k=3}^t \omega_{1(n-1)}^k \omega_{(n-1)k}^1 = \frac{t\mu^2 - \frac{3}{2}nH\mu}{n-t-1}, \quad (45)$$

$$\omega_{(n-1)n}^{n-1}\omega_{in}^i - 2\omega_{1(n-1)}^i \omega_{(n-1)i}^1 = \frac{t\mu^2 - \frac{3}{2}nH\mu}{n-t-1}. \quad (46)$$

Taking sum in (44) and (46) for  $i$ ,  $3 \leq i \leq t$ , combining  $\omega_{in}^i = \omega_{1n}^1$  (cf. Eq. (27)), then (43) and (45) can be simplified to (41) and (42).  $\square$

Now, we continue the proof of Theorem 3.1 for case 1.

Substituting  $\omega_{(n-1)n}^{n-1} = \frac{u_n(3nH-2t\mu)}{-n(n-t+2)H+2t\mu}$  and  $\omega_{1n}^1 = \frac{u_n(\mu)}{-\frac{n}{2}-\mu}$  (cf. Eq. (27)) into (40) and (41), together with (28) and (42), we eliminate  $u_n u_n(H)$  and  $u_n u_n(\mu)$  and obtain

$$\begin{aligned}
& (n-t-1)((-3nt+8n-4)\omega_{1n}^1 + (-3n+3t+10)n\omega_{(n-1)n}^{n-1})u_n(H) \\
&= \frac{3}{4}(-n+t-12)n^3H^3 - \frac{1}{2}(-n^2+nt+9n+20t+10)n^2H^2\mu \quad (47) \\
&+ (-n^2-4nt-3t+4)nH\mu^2 + 2(n-2)t\mu^3 + 3n(n-t-1)\lambda H.
\end{aligned}$$

Acting on both sides of (47) by  $u_n$ , applying Lemmas 3.4 and 3.5, we obtain

$$f_1(H, \mu)\omega_{1n}^1 + g_1(H, \mu)\omega_{(n-1)n}^{n-1} = h_1(H, \mu)u_n(H), \quad (48)$$

where

$$\begin{aligned}
f_1(H, \mu) &= \frac{1}{4}(-n^3 + n^2t + 20n^2 + 21nt + 106n - 32)n^2H^3 \\
&+ \left(\frac{1}{2}n^3t - n^3 - \frac{1}{2}n^2t^2 - \frac{17}{2}n^2t - nt^2 - 32nt + 4n + 12t\right)nH^2\mu \\
&+ (n^3t + n^2t^2 - n^3 + 7n^2t + 6nt^2 - 25nt + 4t + 4n)H\mu^2 \\
&- 2(n-2)(t-2)t\mu^3 - (11n-4)(n-t-1)\lambda H, \\
g_1(H, \mu) &= \frac{1}{2}(11n - 11t + 40)n^3H^3 - 2(n-t)(n-2)t\mu^3 \\
&+ [(n-t)\left(\frac{1}{2}n^2 - \frac{1}{2}nt - \frac{9}{2}n - t - 5\right) - 30t]n^2H^2\mu \\
&+ (n^3 - nt^2 + 16nt - 6t^2 - 4n - 6t)nH\mu^2 - 10n(n-t-1)\lambda H, \\
h_1(H, \mu) &= -\frac{69}{2}n^3H^2 + 3n(n-t-1)\lambda \\
&+ [-n^3 + n^2t + 5n^2 + \frac{55}{2}nt + 16n - 2t - 2 + \frac{3(-3n^2 + 18n - 4)}{2(n-t-1)}]nH\mu \\
&+ [-n^3 - 4n^2t - 3nt + 4n - \frac{t(-3n^2 + 18n - 4)}{n-t-1}]\mu^2.
\end{aligned}$$

On the other hand, it follows from (27) that

$$3nu_n(H) = -2(n-2)\left(\frac{n}{2}H + \mu\right)\omega_{1n}^1 + [-4nH + 2(n-2)\mu]\omega_{(n-1)n}^{n-1}. \quad (49)$$

Putting (49) into (48) and eliminating  $u_n(H)$ , we have

$$f_2(H, \mu)\omega_{1n}^1 + g_2(H, \mu)\omega_{(n-1)n}^{n-1} = 0, \quad (50)$$

where

$$\begin{aligned}
f_2(H, \mu) &= \frac{1}{4}(-n^3 + n^2t - 26n^2 + 21nt + 198n - 32)n^2H^3 \\
&\quad + \left[-\frac{1}{3}n^4 + \frac{5}{6}n^3t - \frac{1}{2}n^2t^2 + \frac{4}{3}n^3 - nt^2 - 21n^2 - 51nt \right. \\
&\quad \left. + \frac{116}{3}n + \frac{40}{3}t + \frac{4}{3} + \frac{(n-2)(-3n^2 + 18n - 4)}{2(n-t-1)}\right]nH^2\mu \\
&\quad + (-n^4 + \frac{1}{3}n^3t + n^2t^2 + n^3 + 23n^2t + 6nt^2 \\
&\quad + 12n^2 - 61nt - \frac{64}{3}n + \frac{20}{3}t + \frac{8}{3})H\mu^2 \\
&\quad - \frac{2}{3}(n-2)[n^2 + 4nt + 3t^2 - 3t - 4 + \frac{t(-3n^2 + 18n - 4)}{n(n-t-1)}]\mu^3 \\
&\quad + (n-t-1)(n^2 - 13n + 4)\lambda H + 2(n-2)(n-t-1)\mu\lambda, \\
g_2(H, \mu) &= \frac{1}{2}(11n - 11t - 52)n^3H^3 \\
&\quad + \left[\frac{1}{2}n^4 - n^3t + \frac{1}{2}n^2t^2 - \frac{13}{2}n^3 + \frac{11}{2}n^2t + nt^2 + \frac{68}{3}n^2 + \frac{35}{3}nt \right. \\
&\quad \left. - \frac{74}{3}n - \frac{8}{3}t - \frac{8}{3} + \frac{2(-3n^2 + 18n - 4)}{n-t-1}\right]nH^2\mu \\
&\quad + \left[\frac{5}{3}n^4 - \frac{2}{3}n^3t - n^2t^2 - \frac{14}{3}n^3 - \frac{23}{3}n^2t - 6nt^2 - \frac{44}{3}n^2 - \frac{26}{3}nt \right. \\
&\quad \left. + \frac{20}{3}n + \frac{(n-2)(-3n^2 + 18n - 4)(-n - \frac{4}{3}t + 2)}{n-t-1}\right]H\mu^2 \\
&\quad + 2(n-2)\left[\frac{1}{3}n^2 + \frac{1}{3}nt + t^2 + t - \frac{4}{3} + \frac{t(-3n^2 + 18n - 4)}{3n(n-t-1)}\right]\mu^3 \\
&\quad - 6n(n-t-1)\lambda H - 2(n-2)(n-t-1)\lambda\mu.
\end{aligned}$$

Combining (42), (47) with (49), we get that

$$f_3(H, \mu)(\omega_{1n}^1)^2 + g_3(H, \mu)(\omega_{(n-1)n}^{n-1})^2 = h_3(H, \mu), \quad (51)$$

where

$$\begin{aligned}
f_3(H, \mu) &= -\frac{2(n-2)}{3n}(n-t-1)(3nt - 8n + 4)\left(\frac{n}{2}H + \mu\right), \\
g_3(H, \mu) &= \frac{2}{3}(n-t-1)(-3n + 3t + 10)(-2nH + (n-2)\mu),
\end{aligned}$$

$$\begin{aligned}
h_3(H, \mu) &= \frac{3}{4}(-n + t - 12)n^3H^3 + \frac{1}{2}(2n^2 - 2nt - 7n + 38t - 2)n^2H^2\mu \\
&+ (-n^3t + n^2t^2 + 2n^3 - \frac{14}{3}n^2t - 6nt^2 - 8n^2 + 13nt + 12n - 8)H\mu^2 \\
&- \frac{2}{3n}t(n - 2)(3n^2 - 6nt - 5n + 4)\mu^3 + 3n(n - t - 1)\lambda H.
\end{aligned}$$

Using relations (42), (50) and (51), by a long computation, we obtain an algebraic equation of  $H$  and  $\mu$ , and denoted by  $f(H, \mu) = 0$ . Acting on  $f(H, \mu) = 0$  with  $u_n$  twice, and using (40), (41), (42), (49) and (50), we get another algebraic equation of  $H$  and  $\mu$  with the form  $g(H, \mu) = 0$ . Applying Lemma 4.6 in [1],  $\mu$  can be eliminated from  $f(H, \mu) = 0$  and  $g(H, \mu) = 0$ , and we obtain an algebraic equation for  $H$  with constant coefficients. So,  $H$  must be a constant, a contradiction. We prove Theorem 3.1 for case 1.

**Remark 1** When  $t = n - 1$ ,  $\mu = \frac{3nH}{2(n-1)}$  and many terms in the proof of Theorem 3.1 are disappear. Meanwhile, Lemma 3.3 becomes more simple and Lemma 3.5 is simplified to (41). Substitute  $\omega_{1n}^1 = \frac{u_n(\mu)}{-\frac{n}{2}H - \mu}$  into (41) and combine Lemma 3.3, we get the equation

$$\frac{2(n+2)(n-4)}{9}(\omega_{1n}^1)^2 + \frac{n^2(n+5)H^2}{2(n-1)} = \lambda.$$

Applying  $u_n$  to both sides of the above equation, we obtain

$$\frac{4(n-4)}{9}(\omega_{1n}^1)^2 + \frac{3n^2H^2}{n-1} = 0,$$

which together with the previous equation leads to  $H$  be a constant. It is a contradiction.

*Case 2:  $\nabla H$  is light-like.*

In this case,  $\nabla H$  is in the direction of  $u_2$ . By our assumption that  $M_1^n$  has at most three distinct principal curvatures, the form (I) can be written as

$$(I) \quad A = \begin{pmatrix} \mu & 0 & & & \\ 1 & \mu & & & \\ & & D_{t-2}(\mu) & & \\ & & & D_p(\nu) & \\ & & & & D_{n-t-p}(\tau) \end{pmatrix},$$

where  $2 \leq t \leq n$ ,  $\mu, \nu, \tau$  are mutually distinct principal curvatures of  $M_1^n$  with multiplicities  $t, p$  and  $n - t - p$  respectively.

When  $t = n$ , there are only one principal curvature; when  $t + p = n, t \neq n$ , there are two distinct principal curvatures. In the following, we consider that  $M_1^n$  has three distinct principal curvatures, i.e.  $t + p \neq n$ . Indeed, for  $t + p = n$ , many terms in the following process are disappear, we can easily get  $H = 0$ , a contradiction.

As  $\nabla H$  is in the direction of  $u_2$ , we know  $\mu = -\frac{n}{2}H$ . Since  $H = \frac{1}{n}\text{tr}A$ , it follows that  $\nu = \frac{(\frac{t}{2}+1)nH-(n-t-p)\tau}{p}$ . We have from (5) that

$$u_1(H) \neq 0, \quad u_2(H) = u_3(H) = \cdots = u_n(H) = 0, \quad (52)$$

which implies that  $(\nabla_{u_B}u_C - \nabla_{u_C}u_B)(H) = [u_B, u_C](H) = 0, B, C \neq 1$ . Thus

$$\omega_{BC}^1 = \omega_{CB}^1, \quad B, C \neq 1. \quad (53)$$

**Lemma 3.6** *We have*

$$8(t-2)(\omega_{22}^2)^2 + \frac{4(n-t-p)(\tau-\nu)}{\mu-\nu}\omega_{22}^2\omega_{n2}^n + nH\text{tr}A^2 + n\lambda H = 0, \quad (54)$$

where

$$\text{tr}A^2 = t\mu^2 + p\nu^2 + (n-t-p)\tau^2. \quad (55)$$

**Proof** We construct an orthonormal basis  $\mathfrak{E}$  as (30). According to the form of  $A$  with respect to this new basis, by a straightforward calculation, we get (55).

From (30) and (52), we have

$$\begin{cases} e_1e_1(H) = (u_1u_1(H) - u_2u_1(H))/2, \\ e_2e_2(H) = (u_1u_1(H) + u_2u_1(H))/2. \end{cases} \quad (56)$$

Using (30) and (56), (4) can be rewritten as

$$-u_2u_1(H) + \left(\omega_{21}^1 + \sum_{B=3}^n \omega_{BB}^1\right)u_1(H) + H\text{tr}A^2 = \lambda H. \quad (57)$$

Since  $[u_1, u_2](H) = \nabla_{u_1}u_2(H) - \nabla_{u_2}u_1(H)$ , combining (6) and (53), we have

$$u_2u_1(H) = \omega_{21}^1u_1(H) = -\omega_{22}^2u_1(H). \quad (58)$$

From (9), (13) and (17), it follows that



$$u_1(\mu) = 2\omega_{22}^2 = \omega_{32}^3 = \cdots = \omega_{t2}^t. \quad (59)$$

and

$$\begin{cases} \omega_{b2}^b = \frac{u_2(\nu)}{\mu - \nu}, & t+1 \leq b \leq t+p, \\ \omega_{\alpha 2}^\alpha = \frac{u_2(\tau)}{\mu - \tau}, & t+p+1 \leq \alpha \leq n. \end{cases} \quad (60)$$

Noting that  $\nu = \frac{(\frac{t}{2}+1)nH - (n-t-p)\tau}{p}$ , the above equations imply that

$$\omega_{b2}^b = -\frac{(n-t-p)(\mu - \tau)}{p(\mu - \nu)}\omega_{\alpha 2}^\alpha. \quad (61)$$

Also, we have from (59) and  $\mu = -\frac{n}{2}H$  that

$$u_1(H) = -\frac{4}{n}\omega_{22}^2. \quad (62)$$

Substituting (58) and (62) into (57), using (59)~(61), then (54) follows.  $\square$

Now, we continue the proof of Theorem 3.1 for case 2.

Differentiating both sides of  $u_1(\mu) = 2\omega_{22}^2$  (cf. Eq. (59)) along the direction  $u_2$ , combining  $\mu = -\frac{n}{2}H$  and (58), we get

$$u_2(\omega_{22}^2) = -(\omega_{22}^2)^2. \quad (63)$$

From (11), (12), (16), (18) and (19), combining (7), (52) and (53), we obtain

$$\omega_{22}^i = \omega_{1b}^1 = \omega_{1\alpha}^1 = \omega_{2b}^1 = \omega_{2\alpha}^1 = \omega_{\alpha 2}^\beta = \omega_{i\alpha}^1 = \omega_{b\alpha}^1 = 0, \quad (64)$$

with  $3 \leq i \leq t$ ,  $t+1 \leq b \leq t+p$  and  $\alpha \neq \beta$ ,  $t+p+1 \leq \alpha, \beta \leq n$ .

Computing  $\langle R(u_2, u_n)u_2, u_n \rangle$  by using Gauss equation, combining (6), (7), (53) and (64), we obtain

$$u_2(\omega_{n2}^n) = (\omega_{22}^2 - \omega_{n2}^n)\omega_{n2}^n.$$

Acting on (54) with  $u_2$ , using (60), (61), (63) and the above equation, it follows that

$$\begin{aligned} & 8(t-2)(\omega_{22}^2)^3 - 2(n-t-p)\frac{p(\nu - \tau)^2 + (n-t)(\mu - \tau)^2}{p(\mu - \nu)^2}(\omega_{n2}^n)^2\omega_{22}^2 \\ & + n(n-t-p)H(\nu - \tau)(\mu - \tau)\omega_{n2}^n = 0. \end{aligned} \quad (65)$$

Eliminating  $\omega_{n_2}^n$  from (65) and (54), we get

$$F(H, \tau, \omega_{22}^2) := f_4(H, \tau)(\omega_{22}^2)^4 + f_2(H, \tau)(\omega_{22}^2)^2 + f_0(H, \tau) = 0,$$

where  $f_i(H, \tau)$ ,  $i = 0, 2, 4$ , are algebraic expressions of  $H$  and  $\tau$ . Differentiating  $F(H, \tau, \omega_{22}^2) = 0$  along  $u_2$ , combining (54) and (60), we get

$$G(H, \tau, \omega_{22}^2) := g_6(H, \tau)(\omega_{22}^2)^6 + g_4(H, \tau)(\omega_{22}^2)^4 + g_2(H, \tau)(\omega_{22}^2)^2 + g_0(H, \tau) = 0,$$

where  $g_i(H, \tau)$ ,  $i = 0, 2, 4, 6$ , are algebraic expressions of  $H$  and  $\tau$ .

Eliminating  $\omega_{22}^2$  from  $F(H, \tau, \omega_{22}^2) = 0$  and  $G(H, \tau, \omega_{22}^2) = 0$  by using Lemma 4.6 in [1], we obtain an algebraic expression of  $H$  and  $\tau$ , denote by  $f(H, \tau) = 0$ . Acting on  $f(H, \tau) = 0$  with  $u_2$ , using  $u_2(H) = 0$  and  $u_2(\tau) = (\mu - \tau)\omega_{n_2}^n$ , we have

$$g(H, \tau)\omega_{n_2}^n = 0, \tag{66}$$

where  $g(H, \tau)$  is an algebraic equation of  $H$  and  $\tau$ .

We claim that  $\omega_{n_2}^n \neq 0$ .

Indeed, If  $\omega_{n_2}^n = 0$ , from (65), we get  $(t - 2)\omega_{22}^2 = 0$ . Then (54) implies that  $\text{tr}A^2 = \lambda$ . Differentiating along  $u_B$  for  $3 \leq B \leq n$ , we get  $2(n - t - p)(\tau - \nu)u_B(\tau) = 0$ . As  $\nu \neq \tau$ , it follows that  $u_B(\tau) = 0$ ,  $3 \leq B \leq n$ . Combining (20), we finally get

$$\omega_{(t+1)B}^{t+1} = \omega_{nB}^n = 0, \quad 3 \leq B \leq t + p + 1.$$

Also, if  $\omega_{n_2}^n = 0$ , then (61) implies that  $\omega_{(t+1)2}^{t+1} = 0$ . Applying Gauss equation to calculate  $\langle R(u_1, u_n)u_2, u_n \rangle$  and  $\langle R(u_1, u_{t+1})u_2, u_{t+1} \rangle$ , combining (53), (64) and the above equation, we find  $\mu\tau = 0$  and  $\mu\nu = 0$ . It follows from  $\mu = -\frac{n}{2}H$  that  $\nu = \tau = 0$ , and then  $H = 0$ , a contradiction.

Since  $\omega_{n_2}^n \neq 0$ , we conclude from (66) that  $g(H, \tau) = 0$ . Combining  $f(H, \tau) = 0$  and  $g(H, \tau) = 0$ , we obtain an algebraic equation for  $H$  with constant coefficients. So,  $H$  must be a constant, a contradiction.

We finish the proof of Theorem 3.1.  $\square$

#### 4. When the shape operator has the canonical form (II)

**Theorem 4.1** *Let  $M_1^n$  ( $n \geq 4$ ) be a nondegenerate Lorentzian hypersurface of the pseudo-Euclidean space  $\mathbb{E}_1^{n+1}$  satisfying  $\Delta \vec{H} = \lambda \vec{H}$  ( $\lambda$  a real constant).*

Suppose that the shape operator of  $M_1^n$  has the form (II) and  $M_1^n$  has at most three distinct principal curvatures, then  $M_1^n$  has constant mean curvature.

The proof ideas of Theorem 4.1 are the same as that of Theorem 3.1. So in the following, we give the outline of the proof of Theorem 4.1 and leave the details to the readers. First of all, we need some preliminaries.

When the shape operator  $A$  has the canonical form (II), we have

$$\begin{aligned} A(u_1) &= \mu u_1 + u_3, & A(u_2) &= \mu u_2, & A(u_3) &= u_2 + \mu u_3, \\ A(u_B) &= \lambda_B u_B, & B &= 4, \dots, n. \end{aligned}$$

From  $\langle (\nabla_X A)Y, Z \rangle = \langle (\nabla_Y A)X, Z \rangle$ , using (6) and (7), we get the following equations: for  $4 \leq B, C, D \leq n$  and  $B, C, D$  are distinct,

$$\begin{aligned} X = u_1, Y = u_2, Z = u_2, \text{ and } X = u_2, Y = u_3, Z = u_3 &\implies u_2(\mu) = \omega_{23}^1 = 0; \\ X = u_1, Y = u_2, Z = u_B &\implies (\mu - \lambda_B)(\omega_{12}^B - \omega_{21}^B) = \omega_{23}^B; \\ X = u_1, Y = u_3, Z = u_B &\implies (\mu - \lambda_B)(\omega_{13}^B - \omega_{31}^B) = \omega_{33}^B - \omega_{12}^B; \\ X = u_1, Y = u_B, Z = u_2 &\implies u_B(\mu) = (\lambda_B - \mu)\omega_{1B}^1 - \omega_{B3}^1; \\ X = u_1, Y = u_B, Z = u_B &\implies u_1(\lambda_B) = (\mu - \lambda_B)\omega_{B1}^B + \omega_{B3}^B; \\ X = u_1, Y = u_B, Z = u_C &\implies (\lambda_B - \lambda_C)\omega_{1B}^C = (\mu - \lambda_C)\omega_{B1}^C + \omega_{B3}^C; \\ X = u_2, Y = u_B, Z = u_1 &\implies u_B(\mu) = (\lambda_B - \mu)\omega_{2B}^2 - \omega_{2B}^3 + \omega_{B2}^3; \\ X = u_2, Y = u_B, Z = u_2 &\implies (\lambda_B - \mu)\omega_{2B}^1 = 0; \\ X = u_2, Y = u_B, Z = u_3 &\implies (\lambda_B - \mu)\omega_{2B}^3 = \omega_{2B}^1; \\ X = u_2, Y = u_B, Z = u_B &\implies u_2(\lambda_B) = (\mu - \lambda_B)\omega_{B2}^B; \\ X = u_2, Y = u_B, Z = u_C &\implies (\lambda_B - \lambda_C)\omega_{2B}^C = (\mu - \lambda_C)\omega_{B2}^C; \\ X = u_3, Y = u_B, Z = u_2 &\implies (\lambda_B - \mu)\omega_{3B}^1 = 0; \\ X = u_3, Y = u_B, Z = u_3 &\implies u_B(\mu) = (\lambda_B - \mu)\omega_{3B}^3 - \omega_{3B}^1 + 2\omega_{B3}^1; \\ X = u_3, Y = u_B, Z = u_B &\implies u_3(\lambda_B) = (\mu - \lambda_B)\omega_{B3}^B + \omega_{B2}^B; \\ X = u_3, Y = u_B, Z = u_C &\implies (\lambda_B - \lambda_C)\omega_{3B}^C = (\mu - \lambda_C)\omega_{B3}^C + \omega_{B2}^C; \\ X = u_B, Y = u_C, Z = u_1 &\implies (\lambda_C - \mu)\omega_{BC}^2 = (\lambda_B - \mu)\omega_{CB}^2; \\ X = u_B, Y = u_C, Z = u_2 &\implies (\lambda_C - \mu)\omega_{BC}^1 = (\lambda_B - \mu)\omega_{CB}^1; \\ X = u_B, Y = u_C, Z = u_3 &\implies (\lambda_C - \mu)\omega_{BC}^3 - \omega_{BC}^1 = (\lambda_B - \mu)\omega_{CB}^3 - \omega_{CB}^1; \\ X = u_B, Y = u_C, Z = u_B &\implies u_C(\lambda_B) = (\lambda_C - \lambda_B)\omega_{BC}^B; \\ X = u_B, Y = u_C, Z = u_D &\implies (\lambda_C - \lambda_D)\omega_{BC}^D = (\lambda_B - \lambda_D)\omega_{CB}^D, \end{aligned} \tag{67}$$

**Proof (of Theorem 4.1)** Assume that  $H$  is not a constant, then  $\nabla H$  can be in the direction of  $u_2$  (light-like), or in one of the directions  $u_4, \dots, u_n$  (space-like). Next, we will study the two cases separately, and show that each of the cases will lead to a contradiction.

*Case 1:  $\nabla H$  is space-like.*

We choose  $u_n$  in the direction of  $\nabla H$ , so  $\lambda_n = -\frac{n}{2}H$ . In this case, (22) and (23) also hold.

Furthermore,  $\lambda_n$  is a simple root. In order to explain this fact, we find from (67) that  $\omega_{23}^n = 0$  and

$$u_n(\mu) = (\lambda_n - \mu)\omega_{2n}^2 + \omega_{n2}^3, \quad u_n(\mu) = (\lambda_n - \mu)\omega_{3n}^3 - 2\omega_{n2}^3, \quad (68)$$

If  $\lambda_C = \lambda_n$  or  $\mu = \lambda_n$ , it follows from the last but one equation of (67) or (68) that  $u_n(H) = 0$ , which contradicts to  $u_n(H) \neq 0$  (cf. Eq. (22)). Then  $\lambda_n$  is simple, i.e.  $\mu \neq \lambda_n$  and  $\lambda_C \neq \lambda_n$ ,  $4 \leq C \leq n-1$ .

Note that our assumption that  $M_1^n$  has at most three distinct principal curvatures and the fact that  $\lambda_n$  is a simple root, we suppose that  $\mu, \nu, \lambda_n$  are mutually distinct principal curvatures of  $M_1^n$  with multiplicities  $t, n-t-1$  and 1 respectively, where,  $3 \leq t \leq n-1$ . Since  $H = \frac{1}{n}\text{tr}A$  and  $\lambda_n = -\frac{n}{2}H$ , it follows that  $t\mu + (n-t-1)\nu = \frac{3}{2}nH$ .

In the following, we suppose  $3 \leq t \leq n-2$ , (i.e.  $M_1^n$  has three distinct principal curvatures). After we complete the proof of Theorem 4.1, the readers may check that Theorem 4.1 is also true when  $t = n-1$  (i.e.  $M_1^n$  has two distinct principal curvatures).

We make use of the following convention for the range of indices:  $1 \leq B, C, D \leq n$ ,  $4 \leq i, j, k \leq t$ ,  $t+1 \leq a, b, c \leq n-1$ , and  $B, C, D, i, j, k, a, b, c$  are distinct. When  $t = 3$ , the terms about  $i, j, k$  are disappear.

By using (7), (22), (23) and (67), we have the following.

**Lemma 4.2** *When the shape operator  $A$  takes the form (II), we have*

$$\begin{aligned} \omega_{nB}^n &= 0; \quad \omega_{Ca}^1 = 0, \quad C \neq 1, a, n; \quad \omega_{aD}^n = 0, \quad D \neq a; \quad \omega_{ia}^i = \frac{u_a(\mu)}{\nu - \mu}; \\ \omega_{2n}^1 &= \omega_{in}^1 = \omega_{in}^2 = \omega_{23}^n = \omega_{i3}^n = \omega_{n3}^1 = \omega_{ni}^1 = \omega_{in}^j = 0, \\ \omega_{23}^a &= \omega_{2i}^a = \omega_{a1}^b = \omega_{a2}^b = \omega_{a3}^b = 0, \\ u_1(\nu) &= (\mu - \nu)\omega_{a1}^a + \omega_{a3}^a, \quad u_2(\nu) = (\mu - \nu)\omega_{a2}^a, \\ u_3(\nu) &= (\mu - \nu)\omega_{a3}^a + \omega_{a2}^a, \quad u_i(\nu) = (\mu - \nu)\omega_{ai}^a, \end{aligned}$$

$$\begin{cases} \omega_{1n}^1 = \omega_{2n}^2 = \cdots = \omega_{tn}^t = \frac{u_n(\mu)}{-\frac{n}{2}H-\mu}, \\ \omega_{(t+1)n}^{t+1} = \cdots = \omega_{(n-1)n}^{n-1} = \frac{u_n(\nu)}{-\frac{n}{2}H-\nu}. \end{cases} \quad (69)$$

Similar to Lemma 3.3, we also can construct an orthonormal basis  $\mathfrak{E}$  as (30). Then using (4) and (69), we have the following Lemma.

**Lemma 4.3** *When the shape operator  $A$  takes the form (II), and  $\nabla H$  is space-like, then the equations (28) and (29) also hold.*

**Lemma 4.4** *Suppose that  $H$  is not a constant. Then, for  $1 \leq B \leq n-1$ ,*

$$u_B(\mu) = 0.$$

**Proof** Observe (67), we have  $u_2(\mu) = 0$ .

(i) *To prove  $u_3(\mu) = 0$ .*

As  $u_2(\mu) = 0$ , we get from Lemma 4.2 that  $\omega_{(n-1)2}^{n-1} = 0$  and  $\omega_{(n-1)3}^{n-1} = \frac{u_3(\nu)}{\mu-\nu}$ . Calculate  $\langle R(u_{n-1}, u_3)u_n, u_{n-1} \rangle$  by using Gauss equation (2), combining  $\omega_{(n-1)2}^{n-1} = 0$  and Lemma 4.2, we get

$$u_3(\omega_{(n-1)n}^{n-1}) = (\omega_{3n}^3 - \omega_{(n-1)n}^{n-1})\omega_{(n-1)3}^{n-1}.$$

Suppose on the contrary that  $u_3(\nu) \neq 0$ , similar to the proof of  $u_1(\mu) = 0$  in Lemma 3.4, we finally get  $\mu = \nu = \frac{3nH}{2(n-1)}$ , a contradiction to  $\mu \neq \nu$ . So  $u_3(\nu) = 0$ . Furthermore,  $u_3(\mu) = 0$ .

(ii) *To prove  $u_i(\mu) = 0$ ,  $4 \leq i \leq t$ .*

When  $t \neq 4$ , the last but one equation of (67) implies  $u_i(\mu) = 0$ . When  $t = 4$ , using Gauss equation for  $\langle R(u_{n-1}, u_4)u_n, u_{n-1} \rangle$ , combining  $\omega_{(n-1)2}^{n-1} = 0$  and Lemma 4.2, we obtain

$$u_4(\omega_{(n-1)n}^{n-1}) = (\omega_{4n}^4 - \omega_{(n-1)n}^{n-1})\omega_{(n-1)4}^{n-1}. \quad (70)$$

Following the similar method to the proof of  $u_1(\mu) = 0$  in Lemma 3.4, we can check that  $u_4(\mu) = 0$  is true. Thus, we conclude  $u_i(\mu) = 0$ ,  $4 \leq i \leq t$ .

(iii) *To prove  $u_1(\mu) = 0$ .*

Combining  $u_3(\mu) = u_i(\mu) = 0$  and Lemma 4.2, we find  $\omega_{(n-1)3}^{n-1} = \omega_{(n-1)i}^{n-1} = 0$  and  $\omega_{(n-1)1}^{n-1} = \frac{u_1(\nu)}{\mu-\nu}$ . Taking the same process as that in Lemma 3.4, then  $u_1(\mu) = 0$  follows.

Summarize, we obtain  $u_1(\mu) = u_2(\mu) = \cdots = u_t(\mu) = 0$ . Following the similar process as Lemma 3.4, we also have  $u_{t+1}(\mu) = \cdots = u_{n-1}(\mu) = 0$ . So, Lemma 4.4 is proved.  $\square$

Now, we continue the proof of Theorem 4.1 for case 1.

Using Gauss equation (2) for  $\langle R(u_n, u_{n-1})u_n, u_{n-1} \rangle$  and  $\langle R(u_n, u_1)u_2, u_n \rangle$ , combining Lemmas 4.2 and 4.4, we conclude that (40) and (41) hold. In the following, we will prove that (42) also hold in this case.

By (67), together with Lemma 4.4, we obtain

$$\begin{aligned} \omega_{3i}^a &= \omega_{i3}^a, \quad (\mu - \nu)(\omega_{1i}^a - \omega_{i1}^a) = \omega_{i3}^a, \quad (\nu - \mu)\omega_{3a}^i = \omega_{a2}^i, \\ (\mu - \nu)(\omega_{13}^a - \omega_{31}^a) &= (\omega_{1a}^1 - \omega_{3a}^3), \quad \omega_{1a}^1 = \omega_{2a}^2 \end{aligned} \quad (71)$$

and

$$\begin{cases} (\nu - \mu)\omega_{1a}^1 - \omega_{a3}^1 = 0, \\ (\nu - \mu)\omega_{2a}^2 + \omega_{a2}^3 = 0, \\ (\nu - \mu)\omega_{3a}^3 - \omega_{a2}^3 + \omega_{a3}^1 = 0. \end{cases} \quad (72)$$

Clearly, (72) implies that  $\omega_{1a}^1 + \omega_{2a}^2 + \omega_{3a}^3 = 0$ . Notice that  $\omega_{1a}^1 = \omega_{2a}^2$  (cf. Eq. (71)), we have

$$\omega_{3a}^3 - \omega_{1a}^1 = -3\omega_{1a}^1. \quad (73)$$

Taking into account the components  $\omega_{BC}^D$  and Lemma 4.4, after applying Gauss equation to  $\langle R(u_a, u_1)u_a, u_2 \rangle$  and  $\langle R(u_a, u_2)u_a, u_1 \rangle$ , we calculate and obtain

$$\begin{cases} u_a(\omega_{1a}^1) + \omega_{1a}^3 \omega_{a3}^1 + \sum_{k=4}^t \omega_{1a}^k \omega_{ak}^1 - \sum_{b=t+1}^{n-1} \omega_{aa}^b \omega_{1b}^1 + \omega_{an}^a \omega_{1n}^1 + (\omega_{1a}^1)^2 = \frac{t\mu^2 - \frac{3}{2}nH\mu}{n-t-1}, \\ u_a(\omega_{2a}^2) - \omega_{a2}^3 \omega_{3a}^2 - \sum_{k=3}^t \omega_{a2}^k \omega_{ka}^2 - \sum_{b=t+1}^{n-1} \omega_{aa}^b \omega_{2b}^2 + \omega_{an}^a \omega_{2n}^2 + (\omega_{2a}^2)^2 = \frac{t\mu^2 - \frac{3}{2}nH\mu}{n-t-1}. \end{cases} \quad (74)$$

Because of  $\omega_{1a}^1 = \omega_{2a}^2$  (cf. Eq. (71)) and  $\omega_{1n}^1 = \omega_{2n}^2$  (cf. Eq. (69)), it follows from (74) that  $\omega_{a3}^1(\omega_{13}^a - \omega_{31}^a) + \sum_{k=3}^t \omega_{ak}^1(\omega_{1k}^a - \omega_{k1}^a) = 0$ . Combining (71), (72) and (73), we have  $3(\omega_{1a}^1)^2 + \sum_{k=3}^t (\omega_{k3}^a)^2 = 0$ , which implies that  $\omega_{1a}^1 = \omega_{k3}^a = 0$ . Furthermore,  $\omega_{a3}^1 = \omega_{3k}^a = \omega_{ai}^1 = 0$ . Substituting into (74), we know that equation (42) also hold.

Summing up, we have proved that (40), (41) and (42) hold under the assumptions of Theorem 4.1 with the case of  $\nabla H$  be space-like. Starting with these three equations, following the same discussion as case 1 of Theorem 3.1, we get a contradiction.

*Case 2:  $\nabla H$  is light-like.*

In this case, we review and find that the equations (52) and (53) hold.

We suppose  $\mu = -\frac{n}{2}H$ ,  $\nu, \tau$  are principal curvatures of  $M_1^n$  with multiplicities  $t, p$  and  $n - t - p$  respectively, and  $3 \leq t \leq n$ . When  $t = n$ , there are only one principal curvature. When  $t + p = n, t \neq n$ , there are two distinct principal curvatures. In the following, we suppose that  $t + p \neq n$ . From  $\text{tr}A = nH$  and  $\mu = -\frac{n}{2}H$ , we have  $\nu = \frac{(\frac{t}{2}+1)nH - (n-t-p)\tau}{p}$ .

The equation  $\langle (\nabla_X A)Y, Z \rangle = \langle (\nabla_Y A)X, Z \rangle$  for  $(X, Y, Z)$  evaluating in the set  $\{(u_1, u_2, u_3), (u_1, u_3, u_2), (u_2, u_3, u_i)\}$ ,  $4 \leq i \leq t$ , implies  $\omega_{21}^1 = \omega_{33}^1 = \omega_{22}^i = 0$ . From (52), (53) and (67), we find that

$$\omega_{23}^1 = \omega_{i2}^i = \omega_{2b}^1 = \omega_{2\alpha}^1 = \omega_{\alpha 2}^\beta = \omega_{3b}^1 = \omega_{3\alpha}^1 = \omega_{1b}^1 = \omega_{1\alpha}^1 = \omega_{i\alpha}^1 = \omega_{b\alpha}^1 = 0 \quad (75)$$

and

$$u_2(\nu) = (\mu - \nu)\omega_{b2}^b, \quad u_2(\tau) = (\mu - \tau)\omega_{\alpha 2}^\alpha,$$

where,  $t + 1 \leq b \leq t + p$ , and  $\alpha \neq \beta$  for  $t + p + 1 \leq \alpha, \beta \leq n$ .

Note that  $\nu = \frac{(\frac{t}{2}+1)nH - (n-t-p)\tau}{p}$ , the above two equations with (52) give

$$\omega_{b2}^b = \frac{(n-t-p)(\tau - \mu)}{p(\mu - \nu)}\omega_{\alpha 2}^\alpha. \quad (76)$$

Using  $[u_1, u_2](H) = \nabla_{u_1}u_2(H) - \nabla_{u_2}u_1(H)$  and  $\omega_{21}^1 = 0$ , we have

$$u_2u_1(H) = 0.$$

Then, taking similar method to Lemma 3.6, we get the following Lemma.

**Lemma 4.5** *We have*

$$\frac{(\nu - \tau)(n - t - p)}{\mu - \nu}\omega_{n2}^n u_1(H) + H\text{tr}A^2 = \lambda H. \quad (77)$$

where

$$\text{tr}A^2 = t\mu^2 + p\nu^2 + (n - t - p)\tau^2.$$

Now, we continue the proof of Theorem 4.1 for case 2. Using Gauss equation to calculate  $\langle R(u_2, u_n)u_2, u_n \rangle$ , combining (6), (7), (53) and (75), we obtain  $u_2(\omega_{n2}^n) = -(\omega_{n2}^n)^2$ . Acting on (77) with  $u_2$ , it follows that

$$\frac{p(\nu - \tau)^2 - (n - t)(\mu - \tau)^2}{p(\mu - \nu)^2}\omega_{n2}^n u_1(H) + 2H(\tau - \nu)(\mu - \tau) = 0,$$

from which and (77), we eliminate  $\omega_{n2}^n u_1(H)$  and obtain

$$2p(n-t-p)(\mu-\nu)(\tau-\nu)^2(\mu-\tau) = (\lambda - \text{tr}A^2)(p(\nu-\tau)^2 - (n-t)(\mu-\tau)^2).$$

Because of  $\mu = -\frac{n}{2}H$  and  $\nu = \frac{(\frac{t}{2}+1)nH - (n-t-p)\tau}{p}$ , the above algebraic equation is of  $H$  and  $\tau$  and denoted by  $f(H, \tau) = 0$ . Acting on  $f(H, \tau) = 0$  with  $u_2$ , we have  $g(H, \tau)\omega_{n2}^n = 0$  with  $g(H, \tau)$  is an algebraic expression of  $H$  and  $\tau$ .

Similar to the proof of Theorem 3.1 for case 2, we can prove  $\omega_{n2}^n \neq 0$ , and conclude  $g(H, \tau) = 0$ . Combining  $f(H, \tau) = 0$  and  $g(H, \tau) = 0$ , we obtain an algebraic equation for  $H$  with constant coefficients. So,  $H$  must be a constant, a contradiction.

We finish the proof of Theorem 4.1.  $\square$

## 5. When the shape operator has the canonical form (III)

**Theorem 5.1** *Let  $M_1^n$  ( $n \geq 4$ ) be a nondegenerate Lorentzian hypersurface of the pseudo-Euclidean space  $\mathbb{E}_1^{n+1}$  satisfying  $\Delta \vec{H} = \lambda \vec{H}$  ( $\lambda$  a real constant). Suppose that the shape operator of  $M_1^n$  has the form (III) and  $M_1^n$  has at most three distinct principal curvatures, then  $M_1^n$  has constant mean curvature.*

**Proof** According to the form (III), we easily find that the shape operator has at least three distinct eigenvalues:  $\xi + \eta i, \xi - \eta i, \lambda_3$ . In view of our assumption, we only need to discuss the case that  $M_1^n$  has three distinct principal curvatures.

With the form (III), we have

$$A(e_1) = \xi e_1 + \eta e_2, A(e_2) = -\eta e_1 + \xi e_2, A(e_3) = \lambda_3 e_3, \dots, A(e_n) = \lambda_n e_n$$

with  $\lambda_3 = \dots = \lambda_n$ . Assume that  $H$  is not a constant, then  $\nabla H \neq 0$ . From (3), we conclude that  $\nabla H$  is an eigenvector of  $A$ , so it can be in one of the directions  $e_3, \dots, e_n$  (space-like). Without loss of generality, we can choose  $e_n$  in the direction of  $\nabla H$ , so  $\lambda_n = -\frac{n}{2}H$ . Express  $\nabla H$  as  $\nabla H = e_1(H)e_1 + e_2(H)e_2 + \dots + e_n(H)e_n$ , we get

$$e_n(H) \neq 0, \quad e_1(H) = e_2(H) = \dots = e_{n-1}(H) = 0.$$

On the other hand, it follows from  $\langle (\nabla_{e_n} A)e_i, e_i \rangle = \langle (\nabla_{e_i} A)e_n, e_i \rangle$  that  $e_n(\lambda_i) = (\lambda_n - \lambda_i)\omega_{in}^i, 3 \leq i \leq n-1$ . Since  $\lambda_3 = \dots = \lambda_n = -\frac{n}{2}H$ , we have  $e_n(H) = 0$ , which contradicts to  $e_n(H) \neq 0$ . Theorem 5.1 follows.  $\square$

Finally, the Main Theorem follows from Theorems 3.1, 4.1 and 5.1.



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