

# Induced almost-additive Gurevich pressure for countable state Markov shifts

Zhitao Xing<sup>1,2</sup>

<sup>1</sup> School of Mathematical Science , Nanjing Normal University,

Nanjing 210023, Jiangsu, P.R.China

e-mail: xzt-303@163.com

<sup>2</sup> School of Mathematics and Statistics , Zhaoqing University,

Zhaoqing 526061, Guangdong, P.R.China

**Abstract.** In this paper, we study the induced almost-additive Gurevich pressure for countable state Markov shifts and obtain its variational principle.

**Keywords and phrases:** Induced pressure, Almost-additive, Variational principle .

## 1 Introduction and main result

Let  $(\Sigma, \sigma)$  be a one-sided *topological Markov shift (TMS)* over a countable set of states  $S$ . This means that there exists a matrix  $A = (t_{ij})_{S \times S}$  of zeros and ones (with no row and no column made entirely of zeros ) such that

$$\Sigma := \{\omega := (\omega_0, \omega_1, \dots) \in S^{\mathbb{N}_0} : t_{\omega_i \omega_{i+1}} = 1 \text{ for every } i \in \mathbb{N}_0\}.$$

The *shift map*  $\sigma : \Sigma \rightarrow \Sigma$  is defined by  $(\omega_0, \omega_1, \omega_2, \dots) \mapsto (\omega_1, \omega_2, \dots)$ . We denote the set of  $A$ -admissible words of  $n \in \mathbb{N}$  by

$$\Sigma^n := \{\omega := (\omega_0, \omega_1, \dots, \omega_{n-1}) \in S^n : t_{\omega_i \omega_{i+1}} = 1 \text{ for every } i \in \{0, 1, \dots, n-2\}\}.$$

and the set of  $A$ -admissible words of arbitrary length by  $\Sigma^* := \bigcup_{n \in \mathbb{N}} \Sigma^n$ . For  $\omega \in \Sigma^*$ , we let  $|\omega|$  denote the length of  $\omega$ , which is the unique  $n \in \mathbb{N}$  such that  $\omega \in \Sigma^n$ . For  $\omega \in \Sigma^n$  we call  $[\omega] := \{\gamma \in \Sigma : \gamma|_n = \omega\}$  the *cylindrical set* of  $\omega$ . We equip  $\Sigma$  with

---

Mathematics Subject Classification: 37D25, 37D35

the topology generated by the cylindrical sets. The topology of the TMS is metrizable, it may be given by the metric  $d_\alpha(\omega, \omega') := e^{-\alpha|\omega \wedge \omega'|}$ ,  $\alpha > 0$ , where  $\omega \wedge \omega'$  denote the longest common initial block of  $\omega, \omega' \in \Sigma$ . The shift map  $\sigma$  is continuous with respect to this metric. If  $S$  is a finite set of states,  $(\Sigma, \sigma)$  is called a *subshift of finite type*. We call a function  $f : \Sigma \rightarrow \mathbb{R}$  is  $\alpha$ -Hölder continuous, if there exists  $\alpha > 0$  and a constant  $V_\alpha(f)$  such that for all  $\omega, \omega' \in \Sigma$ ,  $|f(\omega) - f(\omega')| \leq V_\alpha(f)d_\alpha(\omega, \omega')$ . We say  $f$  is Hölder continuous, if there exists  $\alpha > 0$  such that  $f$  is  $\alpha$ -Hölder continuous. Let  $H(\Sigma, \mathbb{R})$  be the space of all real-valued Hölder continuous functions of  $\Sigma$ . For  $f \in H(\Sigma, \mathbb{R})$  and  $n \geq 1$ , let  $S_n f(\omega) := \sum_{i=0}^{n-1} f(\sigma^i \omega)$ . We denote by  $\mathcal{M}$  the set of all  $\sigma$ -invariant Borel probability measures on  $\Sigma$ . We will always assume  $(\Sigma, \sigma)$  to be *topologically mixing*, that is, for every  $a, b \in S$  there exists  $N_{ab} \in \mathbb{N}$  such that for every  $n > N_{ab}$  we have  $[a] \cap \sigma^{-n}[b] \neq \emptyset$ .

**Definition 1.1.** *Let  $(\Sigma, \sigma)$  be a one-sided countable states Markov shift. For each  $n \in \mathbb{N}$ , let  $f_n : \Sigma \rightarrow \mathbb{R}^+$  be a continuous function. A sequence  $\mathcal{F} := \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is called almost-additive if there exists a constant  $C \geq 0$  such that for every  $n, m \in \mathbb{N}, \omega \in \Sigma$ , we have*

$$f_n(\omega)f_m(\sigma^n \omega)e^{-C} \leq f_{n+m}(\omega). \quad (1.1)$$

and

$$f_{n+m}(\omega) \leq f_n(\omega)f_m(\sigma^n \omega)e^C. \quad (1.2)$$

**Definition 1.2.** *Let  $(\Sigma, \sigma)$  be a one-sided countable states Markov shift. For each  $n \in \mathbb{N}$ , let  $f_n : \Sigma \rightarrow \mathbb{R}^+$  be a continuous function. A sequence  $\mathcal{F} = \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is called a Bowen sequence if there exists a constant  $M \in \mathbb{R}^+$  such that*

$$\sup\{A_n : n \in \mathbb{N}\} \leq M \quad (1.3)$$

where

$$A_n := \sup\left\{\frac{f_n(\omega)}{f_n(\omega')} : \omega, \omega' \in \Sigma, \omega_i = \omega'_i \text{ for every } i \in \{0, 1, \dots, n-1\}\right\}.$$

For  $\omega = (\omega_0, \omega_1, \dots, \omega_{n-1}) \in \Sigma^*$ , let

$$\bar{\omega} := (\omega_0, \omega_1, \dots, \omega_{n-1}, \omega_0, \omega_1, \dots, \omega_{n-1}, \dots)$$

denote the *periodic word* with period  $n \in \mathbb{N}$  and initial block  $\omega$ . Let

$$\Sigma^{per} := \{\omega \in \Sigma^* : \bar{\omega} \in \Sigma\}, \Sigma_a^{per} := \{\omega \in \Sigma^{per} : \omega_0 = a\}.$$

**Definition 1.3.** Let  $(\Sigma, \sigma)$  be a one-sided countable states Markov shift,  $\psi \in H(\Sigma, \mathbb{R})$  with  $\psi \geq 0$ , and  $\mathcal{F} := \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is an almost-additive Bowen sequence. We define for  $\eta > 0$  the  $\psi$ -induced almost-additive Gurevich pressure of  $\mathcal{F}$  with respect to  $\Sigma_a^{per}$  by

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) := \limsup_{T \rightarrow \infty} \frac{1}{T} \log \sum_{\substack{\omega \in \Sigma_a^{per} \\ T-\eta < S_{|\omega|} \psi(\bar{\omega}) \leq T}} f_{|\omega|}(\bar{\omega}),$$

which takes values in  $\bar{\mathbb{R}} := \mathbb{R} \cup \{\mp\infty\}$ .

In particular, for  $\psi = 1$  our definition coincides with the almost-additive Gurevich pressure [2].

The thermodynamic formalism for countable states Markov shifts has been developed by Mauldin and Urbanski [5,6] and by Sarig [8,9,10,11]. Recently, Gurevich pressure for countable state Markov shifts [8] and the pressure for almost-additive sequences on compact spaces [1,4] were extended to the almost-additive pressure for countable states Markov shifts and a variational principle was set up [2]. In [3], the authors defined the induced pressure for countable states Markov shifts. Inspired by the articles [2] and [3], we define the induced almost-additive Gurevich pressure for countable state Markov shifts and obtain its variational principle as follows:

**Theorem 1.1.** Let  $(\Sigma, \sigma)$  be a topologically mixing countable state Markov shift,  $\mathcal{F} := \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is an almost-additive Bowen sequence on  $\Sigma$  with  $\sup f_1 < \infty$  and  $\psi \in H(\Sigma, \mathbb{R})$ ,  $\psi \geq c > 0$  with  $\sup \psi < \infty$ . Then

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) = \sup \left\{ \frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega) d\nu}{\int \psi d\nu} : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\}, \quad (1.4)$$

where  $f_*(\omega) := \lim_{n \rightarrow \infty} \frac{1}{n} \log f_n(\omega)$ .

## 2 Preliminaries

In this section, we study the relation between the  $\psi$ -induced Gurevich pressure and the almost-additive Gurevich pressure. Making a similar proof as in [3, Theorem 2.1], we can obtain the following statement:

**Theorem 2.1.** For  $\psi \in H(\Sigma, \mathbb{R})$  with  $\psi \geq 0$ ,  $\mathcal{F} = \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is a Bowen sequence, we have

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) = \inf \left\{ \beta \in \mathbb{R} : \limsup_{T \rightarrow \infty} \sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|} \psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|} \psi(\bar{\omega})} < \infty \right\}.$$

In particular, the definition of  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$  is independent of the choice of  $\eta > 0$ .

**Lemma 2.1.** *Let  $(\Sigma, \sigma)$  be a topologically mixing countable state Markov shift,  $\mathcal{F} = \{\log f_n\}_{n=1}^\infty$  is an almost-additive Bowen sequence on  $\Sigma$  with  $\sup f_1 < \infty$  and  $\psi \in H(\Sigma, \mathbb{R}), \psi > 0$  with  $\sup \psi < \infty$ . Then for every  $\beta \in \mathbb{R}$ ,  $\mathcal{F}^\beta := \{\log f_n(\omega)e^{-\beta S_n \psi(\omega)}\}_{n=1}^\infty$  is an almost-additive Bowen sequence on  $\Sigma$  and*

$$\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) := \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{\substack{\omega \in \Sigma_a^{per} \\ |\omega|=n}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|} \psi(\bar{\omega})}.$$

exists and it is not minus infinity and

$$\begin{aligned} & \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \\ &= \sup \left\{ h_\nu(\sigma) + \int \mathcal{F}_*^\beta(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int \mathcal{F}_*^\beta(\omega) d\nu \neq -\infty \right\} \\ &= \sup \left\{ h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta \int \psi d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\}. \end{aligned}$$

where  $\mathcal{F}_*^\beta(\omega) := \lim_{n \rightarrow \infty} \frac{1}{n} \log f_n(\omega) e^{-\beta S_n \psi(\omega)}$ ,  $f_*(\omega) := \lim_{n \rightarrow \infty} \frac{1}{n} \log f_n(\omega)$ .

**Proof.** Since  $\mathcal{F}$  is a Bowen sequence on  $\Sigma$ , the *bounded distortion property* (see [3]) shows for every  $\psi \in H(\Sigma, \mathbb{R})$  there exists a constant  $C_\psi > 0$  such that  $|S_{|\omega|} \psi(\gamma) - S_{|\omega|} \psi(\gamma')| \leq C_\psi$  for all  $\omega \in \Sigma^*$  and  $\gamma, \gamma' \in [\omega]$ . Let  $g_n(\omega) := f_n(\omega) e^{-\beta S_n \psi(\omega)}$ . For each  $n \in \mathbb{N}, \beta \in \mathbb{R}$ , we have

$$B_n := \sup \left\{ \frac{g_n(\omega)}{g_n(\omega')} : \omega, \omega' \in \Sigma, \omega_i = \omega'_i \text{ for } i \in \{0, 1, \dots, n-1\} \right\} \leq e^{|\beta| C_\psi} A_n$$

and

$$\sup \{B_n : n \in \mathbb{N}\} \leq M e^{|\beta| C_\psi}.$$

So  $\mathcal{F}^\beta$  is a Bowen sequence on  $\Sigma$ .

Since  $\mathcal{F}$  is an almost-additive sequence on  $\Sigma$  we have

$$f_n(\omega) f_m(\sigma^n \omega) e^{-C} e^{-\beta S_n \psi(\omega)} e^{-\beta S_m \psi(\sigma^n \omega)} \leq f_{n+m}(\omega) e^{-\beta S_{n+m} \psi(\omega)}$$

and

$$f_{n+m}(\omega) e^{-\beta S_{n+m} \psi(\omega)} \leq f_n(\omega) f_m(\sigma^n \omega) e^C e^{-\beta S_n \psi(\omega)} e^{-\beta S_m \psi(\sigma^n \omega)}.$$

Then  $\mathcal{F}^\beta$  is an almost-additive Bowen sequence on  $\Sigma$  with  $\sup f_1(\omega) e^{-\beta \psi(\omega)} < \infty$ .

By [2, Theorem 3.1] and Birkhoff Ergodic Theorem [7], we have  $\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per})$  exists and it is not minus infinity and

$$\begin{aligned} & \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \\ &= \sup \left\{ h_\nu(\sigma) + \int \mathcal{F}_*^\beta(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int \mathcal{F}_*^\beta(\omega) d\nu \neq -\infty \right\} \\ &= \sup \left\{ h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta \int \psi(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\}. \end{aligned}$$

**Corollary 2.1.** For  $\psi \in H(\Sigma, \mathbb{R})$  with  $\psi \geq c > 0$ ,  $\mathcal{F} := \{\log f_n\}_{n=1}^\infty$  on  $\Sigma$  is a Bowen sequence, we always have

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) \geq \inf\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \leq 0\}. \quad (2.5)$$

**Proof.** Let

$$B := \{\beta \in \mathbb{R} : \limsup_{T \rightarrow \infty} \sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} < \infty\}.$$

For each  $\beta \in B$ , it is easy to see that

$$\limsup_{T \rightarrow \infty} \frac{1}{T} \log \sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} \leq 0$$

and we conclude that

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \log \sum_{\substack{\omega \in \Sigma_a^{per} \\ |\omega|=n}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} \leq 0.$$

Otherwise, we assume that

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \log \sum_{\substack{\omega \in \Sigma_a^{per} \\ |\omega|=n}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} = 2a > 0.$$

There exists a sequence  $\{n_j\}_{j \in \mathbb{N}}$  such that for each  $j \in \mathbb{N}$

$$\sum_{\substack{\omega \in \Sigma_a^{per} \\ |\omega|=n_j}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} > e^{an_j}.$$

For sufficiently large  $T > 0$ , let  $\{n_{k_i}\} \subset \{n_j\}$  with  $S_{n_{k_i}}\psi(\bar{\omega}) > T$ . We have

$$\sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} \geq \sum_{\substack{\omega \in \Sigma_a^{per} \\ |\omega|=n_{k_i}}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} > e^{an_{k_i}}.$$

Since  $i \rightarrow \infty$  when  $T \rightarrow \infty$ , we conclude that

$$\limsup_{T \rightarrow \infty} \sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} = \infty.$$

This shows that (2.5) holds.

**Corollary 2.2.** *Let  $(\Sigma, \sigma)$  be a topologically mixing countable state Markov shift and  $\mathcal{F} := \{\log f_n\}_{n=1}^\infty$  is an almost-additive Bowen sequence on  $\Sigma$  with  $\sup f_1 < \infty$  and  $\psi \in H(\Sigma, \mathbb{R}), \psi \geq c > 0$  with  $\sup \psi < \infty$ . We have the map  $\beta \mapsto \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per})$  is strictly decreasing on  $\text{int}\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) < \infty\}$  and*

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) = \inf\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \leq 0\} = \sup\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \geq 0\}. \quad (2.6)$$

*In particular, if  $(\Sigma, \sigma)$  is a subshift of finite type, then the map  $\beta \mapsto \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per})$  is a strictly decreasing continuous map on  $\mathbb{R}$ . Hence we conclude that  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$  is its unique zero, i.e.*

$$\mathcal{P}_1(\mathcal{F}^{\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})}, \Sigma_a^{per}) = 0.$$

**Proof.** By the Lemma 2.1 we have

$$\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) = \sup\{h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta \int \psi(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty\}.$$

For any  $\beta_1, \beta_2 \in \text{int}\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) < \infty\}, \beta_1 < \beta_2$  and  $0 < \epsilon < \frac{c(\beta_2 - \beta_1)}{2}$ , there exists  $\mu \in \mathcal{M}$  such that

$$\begin{aligned} & \sup\{h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta_2 \int \psi(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty\} \\ & < h_\mu(\sigma) + \int f_*(\omega) d\mu - \beta_2 \int \psi(\omega) d\mu + \epsilon \\ & = h_\mu(\sigma) + \int f_*(\omega) d\mu - \beta_1 \int \psi(\omega) d\mu + \epsilon - (\beta_2 - \beta_1) \int \psi(\omega) d\mu \\ & \leq h_\mu(\sigma) + \int f_*(\omega) d\mu - \beta_1 \int \psi(\omega) d\mu - (\beta_2 - \beta_1) \left( \int \psi(\omega) d\mu - \frac{c}{2} \right) \\ & \leq \sup\{h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta_1 \int \psi(\omega) d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty\} \\ & \quad - (\beta_2 - \beta_1) \left( \int \psi(\omega) d\mu - \frac{c}{2} \right). \end{aligned}$$

We thus obtain the map  $\beta \mapsto \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per})$  is strictly decreasing. Since

$$\begin{aligned} & \inf\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) < 0\} \\ & \geq \inf\{\beta \in \mathbb{R} : \limsup_{T \rightarrow \infty} \sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|} \psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|} \psi(\bar{\omega})} < \infty\}, \end{aligned} \quad (2.7)$$

we have

$$\begin{aligned} \inf\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \leq 0\} & = \inf\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) < 0\} \\ & = \sup\{\beta \in \mathbb{R} : \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \geq 0\}. \end{aligned} \quad (2.8)$$

Combining (2.7) and (2.8), we obtain (2.6).

If  $(\Sigma, \sigma)$  is a subshift of finite type, obviously, for each  $\beta \in \mathbb{R}$ ,  $\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) < \infty$ , we easily obtain the conclusion.

We denote by  $C_{\Sigma, \sigma} := \{K \subset \Sigma : K \text{ is compact and } \sigma^{-1}(K) = K\}$  the set of compact  $\sigma$ -invariant subsets on  $\Sigma$ . we say that the *exhaustion principle* holds for  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$ , if there exists a sequence  $\{K_n\}_{n \in \mathbb{N}} \subset C_{\Sigma, \sigma}$  such that

$$\lim_{n \rightarrow \infty} \mathcal{P}_{\psi, K_n}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*) = \mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$$

where

$$\mathcal{P}_{\psi, K}(\mathcal{F}|_K, \Sigma_a^{per} \cap K^*) := \limsup_{T \rightarrow \infty} \frac{1}{T} \log \sum_{\substack{\omega \in \Sigma_a^{per} \cap K^* \\ T - \eta < S_{|\omega|} \psi|_K(\bar{\omega}) \leq T}} f_{|\omega|}(\bar{\omega}) 1_K(\bar{\omega})$$

and  $K^* := \{\omega \in \Sigma^* : [\omega] \cap K \neq \emptyset\}$ . Obviously, the conclusions of Theorem 2.1 and Corollary 2.1 are valid for  $\mathcal{P}_{\psi, K_n}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*)$ .

**Corollary 2.3.** *Let  $(\Sigma, \sigma)$  be a topologically mixing countable state Markov shift and  $\mathcal{F} = \{\log f_n\}_{n=1}^\infty$  is an almost-additive Bowen sequence on  $\Sigma$  with  $\sup f_1 < \infty$ ,  $\psi \in H(\Sigma, \mathbb{R})$ ,  $\psi \geq c > 0$  with  $\sup \psi < \infty$ . We have the exhaustion principle holds for  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$ .*

**Proof.** Let  $\delta > 0$ , it follows from Corollary 2.2 that  $\mathcal{P}_1(\mathcal{F}^{\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) - \delta}, \Sigma_a^{per}) > 0$ . By [2, Proposition 3.1], we have the exhaustion principle holds for  $\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per})$  with  $\beta \in \mathbb{R}$ . There exists a subset  $K \in C_{\Sigma, \sigma}$  such that

$$\begin{aligned} & \mathcal{P}_{1, K}(\mathcal{F}^{(\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) - \delta)}|_K, \Sigma_a^{per} \cap K^*) \\ & := \lim_{n \rightarrow \infty} \frac{1}{n} \log \sum_{\substack{\omega \in \Sigma_a^{per} \cap K^* \\ |\omega| = n}} f_n(\bar{\omega}) e^{-(\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) - \delta) S_n \psi(\bar{\omega})} 1_K(\bar{\omega}) > 0. \end{aligned}$$

By Corollary 2.1 we have

$$\mathcal{P}_{\psi, K}(\mathcal{F}|_K, \Sigma_a^{per} \cap K^*) \geq \inf\{\beta \in \mathbb{R} : \mathcal{P}_{1, K}(\mathcal{F}^\beta|_K, \Sigma_a^{per} \cap K^*) \leq 0\} > \mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) - \delta.$$

Hence, for  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$  the exhaustion principle holds.

**Proposition 2.1.** *Let  $(\Sigma, \sigma)$  be a topologically mixing countable state Markov shift,  $\mathcal{F} = \{\log f_n\}_{n=1}^\infty$  is an almost-additive Bowen sequence on  $\Sigma$ , and  $\psi \in H(\Sigma, \mathbb{R})$ ,  $\psi \geq c > 0$ . Then  $\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$  is independent of the choice of  $a \in S$ .*

**Proof.** It is sufficient to prove that

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) \leq \mathcal{P}_\psi(\mathcal{F}, \Sigma_b^{per}). \quad (2.9)$$

Let  $T > 0$  be large. For each  $a, b \in S$ , and  $\omega \in \Sigma_a^{per}$  with  $T < S_{|\omega|}\psi(\bar{\omega})$ . Since  $(\Sigma, \sigma)$  is topologically mixing, there exists

$$\omega^1 := (b, \omega_1, \dots, \omega_{k-1}), \omega^2 := (a, \omega'_1, \dots, \omega'_{k-1}) \in \Sigma^k$$

such that  $\omega^1 \omega \omega^2 \in \Sigma_b^{per}$ . Let  $x := \overline{\omega^1 \omega \omega^2}$ . Making a similar calculation of [2], we find a constant  $C_f > 0$ , such that  $f_{|\omega|}(\bar{\omega}) \leq C_f f_{|\omega|+2k}(x)$ . Since  $\psi$  is Hölder continuous, the *bounded distortion property* (see [3]) shows there exists a constant  $C_\psi > 0$  such that

$$|S_{|\omega|}\psi(\bar{\omega}) - S_{|\omega|}\psi(\sigma^k x)| \leq C_\psi, |\beta S_{|\omega|}\psi(\bar{\omega}) - \beta S_{|\omega|}\psi(\sigma^k x)| \leq |\beta| C_\psi$$

for  $\bar{\omega}, \sigma^k x \in [\omega], \beta \in \mathbb{R}$ .

Let  $C_1 = \inf_{\gamma \in [\omega^1]} S_k \psi(\gamma), C_2 = \inf_{\gamma \in [\omega^2]} S_k \psi(\gamma)$ , we have

$$T + C_1 + C_2 - C_\psi < S_{2k+|\omega|}\psi(x)$$

and

$$e^{-\beta S_{|\omega|}\psi(\bar{\omega})} < e^{|\beta|(C_1+C_2+C_\psi)} e^{-\beta S_{2k+|\omega|}\psi(x)}.$$

Thus there exists a constant  $C' > 0$  such that

$$\sum_{\substack{\omega \in \Sigma_a^{per} \\ T < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})} \leq C' \sum_{\substack{\omega \in \Sigma_b^{per} \\ T+C_1+C_2-C_\psi < S_{|\omega|}\psi(\bar{\omega})}} f_{|\omega|}(\bar{\omega}) e^{-\beta S_{|\omega|}\psi(\bar{\omega})}.$$

Then we obtain (2.9).

### 3 Proof of Theorem 1.1

In this section, we will prove the variational principle of the induced almost-additive Gurevich pressure for countable states Markov shifts. Our result is a generalization of the almost-additive Gurevich pressure.

Firstly, we show

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) \geq \sup \left\{ \frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega) d\nu}{\int \psi d\nu} : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\}. \quad (3.10)$$

By Corollary 2.1, for  $\beta > \mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$ , we have  $\mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \leq 0$ . By Lemma 2.1 we have

$$\begin{aligned} 0 &\geq \mathcal{P}_1(\mathcal{F}^\beta, \Sigma_a^{per}) \\ &\geq \sup \left\{ h_\nu(\sigma) + \int f_*(\omega) d\nu - \beta \int \psi d\nu : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\} \\ &= \sup \left\{ \int \psi(\omega) d\nu \left( \frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega) d\nu}{\int \psi d\nu} - \beta \right) : \nu \in \mathcal{M} \text{ and } \int f_*(\omega) d\nu \neq -\infty \right\}, \end{aligned}$$



and we obtain (3.10).

Nextly, we prove

$$\mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) \leq \sup\left\{\frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega)d\nu}{\int \psi d\nu} : \nu \in \mathcal{M} \text{ and } \int f_*(\omega)d\nu \neq -\infty\right\}. \quad (3.11)$$

By Corollary 2.3, there exists a sequence  $\{K_n\}_{n \in \mathbb{N}} \subset C_{\Sigma, \sigma}$  such that  $\lim_{n \rightarrow \infty} \mathcal{P}_{\psi, K_n}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*) = \mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per})$ . For each  $n \in \mathbb{N}$ ,  $K_n$  is the finite alphabet case. Combining Corollary 2.2 and [2, Theorem 3.1], we have

$$\begin{aligned} 0 &= \mathcal{P}_{1, K_n}(\mathcal{F}|_{K_n}^{\mathcal{P}_{\psi|_{K_n}}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*)}, \Sigma_a^{per} \cap K_n^*) \\ &= \sup\left\{h_\nu(\sigma) + \int f_*(\omega)d\nu - \mathcal{P}_{\psi|_{K_n}}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*) \int \psi d\nu : \nu \in \mathcal{M}_{K_n} \text{ and } \int f_*(\omega)d\nu \neq -\infty\right\} \\ &\leq \sup\left\{\int \psi(\omega)d\nu \left(\frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega)d\nu}{\int \psi d\nu}\right) : \nu \in \mathcal{M} \text{ and } \int f_*(\omega)d\nu \neq -\infty\right\} \\ &\quad - \mathcal{P}_{\psi|_{K_n}}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*). \end{aligned}$$

Then

$$\begin{aligned} \mathcal{P}_\psi(\mathcal{F}, \Sigma_a^{per}) &= \lim_{n \rightarrow \infty} \mathcal{P}_{\psi, K_n}(\mathcal{F}|_{K_n}, \Sigma_a^{per} \cap K_n^*) \\ &\leq \sup\left\{\frac{h_\nu(\sigma)}{\int \psi d\nu} + \frac{\int f_*(\omega)d\nu}{\int \psi d\nu} : \nu \in \mathcal{M} \text{ and } \int f_*(\omega)d\nu \neq -\infty\right\}. \end{aligned}$$

Combining (3.10) and (3.11), we obtain (1.4).

## References

- [1] Barreira L. Nonadditive thermodynamic formalism: equilibrium and Gibbs measures, *Discrete Contin. Dyn. syst.* **22** 1147-79 (2006).
- [2] Godofredo I & Yuki Y. Almost-additive thermodynamic formalism for countable Markov shifts, *Nonlinearity*. **25** 165-191 (2012).
- [3] Johanes J & Marc K & Sanaz L. Induced topological pressure for countable Markov shifts, *Stoc. Dyn.* **14** (2014).
- [4] Mummert A. The thermodynamic formalism for almost-additive sequences, *Discrete Contin. Dyn. Syst.* **16** 435-54 (2006).
- [5] Mauldin D and Urbánski M. Dimensions and measures in infinite iterated function systems, *Proc. lond. Math. Soc.* **73** 105-54 (1996).
- [6] Mauldin D and Urbánski M. Gibbs states on the symbolic space over an infinite alphabet, *Isra. J. Math.* **125** 93-103 (2001).

- [7] Peter W. *An introduction to Ergodic Theory*. Springer, 1982.
- [8] Sarig O. Thermodynamic formalism for countable Markov shifts, *Erg. Theo. Dyn. Syst.* **19** 1565-93 (1999).
- [9] Sarig O. Phase transitions for countable Markov shifts, *Commun. Math. Phys.* **217** 555-77 (2001).
- [10] Sarig O. Existence of Gibbs measures for countable Markov shifts, *Proc. Am. Math. Soc.* **131**1751-8 (2003).
- [11] Sarig O. *Lecture Notes on Thermodynamic formalism for countable Markov shifts*. Springer, 2009.