Mystery of Mosul Dam the Most Dangerous Dam in the World: Dam Failure and its Consequences

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Abstract

Worries concerning the possibility of the dam failure due to the seepages under the foundation of Mosul Dam during its construction and operation phases enhanced the application of several dam failure models on Mosul Dam case. All the applied models gave similar results. It was noticed through the models that the wave in case of the dam failure will have a height of 54 m and the discharge will be of the order of 551000 m³/sec. This wave will reach the capital city of Iraq “Baghdad” after about 38 hours. The discharge of the River Tigris at Baghdad will be 46000 m³/sec and the height of the wave will reach 4m. The propagation of the wave along this distance will cause a catastrophe. About 500000 civilians will die in addition to the unbelievable damage that will be caused to the infrastructure of the country.

Keywords: Mosul Dam, Grouting, Dam failure, Flood wave.

1 Introduction

Dams are very important infrastructure to any country. They serve for different purposes, e.g. flood control, water supply, hydropower generation, irrigation, navigation and recreation benefits. Unfortunately, these huge structures represent risks to life and property due to their potential to fail and cause catastrophic flooding [1]. There are many dam failure events that were caused due to different reasons. For details of dam failure see [2,3]. Mitigation of such risks requires continuous inspection and maintenance of all dams. In studying dam failure, the prediction of the reservoir outflow hydrograph and the routing of the hydrograph through downstream valley to determine the dam failure consequences are to be carried out. Usually, the prediction of the reservoir hydrograph is uncertain in particular for embankment dams where the dam failure is due to progressive erosion

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processes that are complex and difficult to model [1]. Wahl [1] reviewed the modelling strategies of dam failure and summarized them (see table1).

Table 1: Dam break flood modelling strategies. The first column indicates different approaches to determine breach parameters and/or the breach outflow hydrograph [1]).

<table>
<thead>
<tr>
<th>Regression model for Qp as function of dam and reservoir properties</th>
<th>Approximate breach outflow hydrograph by predicting peak outflow and hydrograph shape directly.</th>
<th>Route breach outflow hydrograph to determine flooding consequences</th>
</tr>
</thead>
<tbody>
<tr>
<td>Analytical model to predict Qp with closedform equations or charts as functions of dam and reservoir properties</td>
<td>Provide breach parameters as input to routing model, which determines breach outflow hydrograph by the use of hydraulic equations for flow through enlarging breach</td>
<td></td>
</tr>
<tr>
<td>Regression model for breach parameters as functions of dam and reservoir properties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Apply erosion model to predict breach evolution and the approximate breach description in parametric way for input to routing model</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process- based erosion and hydraulic models that simultaneously determine breach development and resulting outflow hydrograph</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The details of the regression models listed in table 1 can be obtained as follow:
1. Regression Models for Peak Outflow: [4,5,6].
2. Analytical Models to Predict Peak Outflow: [7,8].
3. Regression Models for Breach Parameters: [9,10].
4. Erosion Models Leading to Parametric Breach Descriptions: [8].
5. Process-Based Dam Breach Models Integrated with Dam-Break Flood Routing: [11,12].

Dam failure is closely related to foundation and/or spillway problems [13]. Leakage in the foundation and embankments are the major reasons in the incidents of earth fill and rock fill dams [13]. Foundation incidents are related to improper interpretation of the geology of the site and improper treatment, while seepage from embankments is usually due to poor construction work.

Construction work in Mosul Dam started on January 25th, 1981 and started operating on July 24th, 1986 (Fig. 1). The dam was constructed on highly karstified beds of the Fatha Formation. In view of this fact, grouting operations were conducted during the construction period to fill the cavities, fissures, joints and cracks in the karstified beds. Unfortunately, all the executed efforts did not stop the seepage under the foundation of the dam. After impounding in 1986, new seepage locations were recognized. Grouting operations
continued and various studies were conducted to find suitable grout or technique to overcome this problem. The seepage due to the dissolution of gypsum and anhydrite beds raised big concern about the safety of the dam and its possible failure. US Army Corps of Engineers conducted a study on Mosul Dam for the period June, 2004 to July, 2007 and highlighted the possibility of the dam failure [14]. News media had highlighted this concern in 2014 when ISIS occupied the dam site area [15,16,17,18,19].

Swiss Consultants Dam Failure Model Used

Swiss Consultants [20] (SC) carried out a comprehensive study for the period 1983 – 84 on the possibilities of Mosul Dam failure using FLORIS model. They investigated the consequences of a hypothetical failure of the Mosul Dam. The report highlights the dimensions of a disaster, which could occur if the dam maintenance and protection were carelessly neglected, thus underlining the importance of the careful dam safety monitoring. The study included a summary of dam failure assumptions, initial wave calculations and results of flood routing.

The study was presented in three volumes; it includes five chapters, where in chapter 2, the mathematical model for Tigris River was described. This included the description of the
model theory, the schematisation of the river channel downstream and of the dam and the representation of Mosul reservoir. In chapter 3, calibration of the mathematical model for the Tigris River was discussed and the calibration of the model was based on reproducing historic floods. In chapter 4, dam break scenario and initial wave calculations were explained. The assumptions surrounding the mechanism of dam failure and its representation in the mathematical model were described. Finally, in chapter 5, results of flood routing were given. This includes discharge and water level profiles to beyond Baghdad. The magnitude of 1000 – 10000 years flood and the PMF (Probable Maximum Flood), together with information on the discharge capacities of the main and fuse-plug spillways were provided in the study (Table 2). Furthermore, the report includes information about the spillway capacities in the event of greater degrees of inoperability of the main spillway gates (Table 3). Comprehensive account of the factors involved and of the features included in the design of the dam in case of the risk of internal erosion was considered. The possibility of dam failure due to overtopping or military action was eliminated in the report.

### Table 2: Hydraulic design data [20].

<table>
<thead>
<tr>
<th>Return period (years)</th>
<th>Peak inflow</th>
<th>Peak outflow (m³/sec)</th>
<th>Peak reservoir WL (m)</th>
<th>Number of spillway gates operable</th>
<th>Fuseplug breached</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000</td>
<td>12000</td>
<td>5650</td>
<td>334.35</td>
<td>3</td>
<td>No</td>
</tr>
<tr>
<td>10000</td>
<td>15000</td>
<td>7700</td>
<td>334.5</td>
<td>4</td>
<td>No</td>
</tr>
<tr>
<td>PMF</td>
<td>27000</td>
<td>14600</td>
<td>338.45</td>
<td>4</td>
<td>Yes</td>
</tr>
</tbody>
</table>

### Table 3: Summary of the discharge capacities for malfunctioning of main spillway [20].

<table>
<thead>
<tr>
<th>Condition</th>
<th>Discharge capacities (m³/sec) at given reservoir water Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>338.0 m</td>
</tr>
<tr>
<td>1 gate operable</td>
<td>9626</td>
</tr>
<tr>
<td>No gate operable</td>
<td>7525</td>
</tr>
</tbody>
</table>

Several cases were studied in the report concerning the breach in case of dam failure (Table 4). Cases A to D were assuming complete dam failure, while cases E to F consider partial dam failure. It was also assumed that the bottom width of 2 times breach height is expected as the smallest washout, which is imaginable considering enormous flows. The maximum bottom width is expected to be 700 m.

### Table 4: Scenarios of breach formation at Mosul dam [20].

<table>
<thead>
<tr>
<th>Case</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
</tr>
</thead>
<tbody>
<tr>
<td>Breach width of bottom (m)</td>
<td>700</td>
<td>700</td>
<td>700</td>
<td>700</td>
<td>200</td>
<td>200</td>
</tr>
<tr>
<td>Breaching time (hours)</td>
<td>4</td>
<td>4</td>
<td>5</td>
<td>5</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Roughness of the river channel Manning’s(n)</td>
<td>0.33</td>
<td>0.050</td>
<td>0.033</td>
<td>0.050</td>
<td>0.033</td>
<td>0.5</td>
</tr>
</tbody>
</table>

The process of breach development according to the model used by the Swiss Consultants includes 4 phases. The first phase includes seepage and internal erosion. This was neglected in the calculations due to the fact that the discharges are very small relative to the power and irrigation releases. Second phase, flow through pipes is formed and get stronger with time.
It was simulated by a gate, which gradually opens from 0 to 5 m. Its width equals the weakened zone (94 m) and its height corresponds to 10% of the seepage zone. The duration of this phase is 0 – 1 hour. In the third phase, it is assumed that the gate in phase two is simultaneously changing to a breach of the same width and eroded down to the valley bottom. The duration of this phase is 1 – 3 hours. In the fourth phase, the breach widens to 200 m for cases E and F and to 700 m for cases A to D. The duration is 2 hours for cases E and F, 4 hours for cases A and B and 5 hours for cases C and D. It was also assumed that in the regulating dam and Samarra barrage all the gates are open since 0 hour. Discharges for the reservoir out flow, peak discharges and maximum water level at different locations are given in tables 5 and 6. The peak out flows varies from 551000 and 477000 m$^3$/sec. The increase of flow resistance and breaching time causes reduction of peak discharge by 7%, each.

### 3 Black &Veatch Review Report and Flood Wave Study

During 2004, Black &Veatch JV (BV) [21] were commissioned to carry out a review of the dam break and flood wave study for Mosul Dam that was completed in 1984 by the Swiss Consultants (SC) [20]. The terms of reference were to do the following:
- Assess quality of the data used in the study.
- Check the appropriateness of the software used.
- Comment on the accuracy of the results.
- Identify any significant short coming in the report and the predicted extent of damage that might occur from the dam breach that could affect emergency planning.
- Commenting on any additional information and studies that might be needed to develop an appropriate Emergency Action Plan.

#### Table 5: Reservoir out flow in 1000 m$^3$/sec [20].

<table>
<thead>
<tr>
<th>Hours/Case</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>1</td>
<td>13</td>
<td>13</td>
<td>13</td>
<td>13</td>
</tr>
<tr>
<td>1.5</td>
<td>80</td>
<td>80</td>
<td>80</td>
<td>80</td>
</tr>
<tr>
<td>2.0</td>
<td>215</td>
<td>210</td>
<td>215</td>
<td>212</td>
</tr>
<tr>
<td>2.5</td>
<td>372</td>
<td>356</td>
<td>335</td>
<td>325</td>
</tr>
<tr>
<td>3.0</td>
<td>474</td>
<td>452</td>
<td>422</td>
<td>404</td>
</tr>
<tr>
<td>3.5</td>
<td>535</td>
<td>499</td>
<td>480</td>
<td>453</td>
</tr>
<tr>
<td>4.0</td>
<td>551</td>
<td>510</td>
<td>509</td>
<td>475</td>
</tr>
<tr>
<td>4.5</td>
<td>538</td>
<td>469</td>
<td>497</td>
<td>460</td>
</tr>
<tr>
<td>5.0</td>
<td>507</td>
<td>469</td>
<td>497</td>
<td>460</td>
</tr>
<tr>
<td>6.0</td>
<td>405</td>
<td>382</td>
<td>435</td>
<td>405</td>
</tr>
<tr>
<td>8.0</td>
<td>271</td>
<td>266</td>
<td>186</td>
<td>278</td>
</tr>
<tr>
<td>10.0</td>
<td>186</td>
<td>192</td>
<td>195</td>
<td>198</td>
</tr>
<tr>
<td>12.0</td>
<td>123</td>
<td>136</td>
<td>130</td>
<td>142</td>
</tr>
<tr>
<td>18.0</td>
<td>37</td>
<td>47</td>
<td>39</td>
<td>49</td>
</tr>
<tr>
<td>24.0</td>
<td>18</td>
<td>2</td>
<td>19</td>
<td>22</td>
</tr>
</tbody>
</table>
Table 6: Peak discharges in 1000 m$^3$/sec [20].

<table>
<thead>
<tr>
<th>Case</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td>km 00 Main dam</td>
<td>551</td>
<td>510</td>
<td>514</td>
<td>477</td>
</tr>
<tr>
<td>Km 109.2 Regulating dam</td>
<td>545</td>
<td>503</td>
<td>509</td>
<td>471</td>
</tr>
<tr>
<td>Km 117.3 Eski Mosul</td>
<td>481</td>
<td>429</td>
<td>456</td>
<td>409</td>
</tr>
<tr>
<td>Km 169.2 Mosul city</td>
<td>405</td>
<td>348</td>
<td>397</td>
<td>342</td>
</tr>
<tr>
<td>Km 196.6 Haman Alil</td>
<td>370</td>
<td>308</td>
<td>365</td>
<td>305</td>
</tr>
</tbody>
</table>

Table 7: Maximum water levels (m a.s.l.) [20].

<table>
<thead>
<tr>
<th>Case</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td>km 00 Main dam</td>
<td>309.5</td>
<td>313.3</td>
<td>307.8</td>
<td>311.7</td>
</tr>
<tr>
<td>Km 109.2 Regulating dam</td>
<td>292.8</td>
<td>297.0</td>
<td>292.2</td>
<td>296.6</td>
</tr>
<tr>
<td>Km 117.3 Eski Mosul</td>
<td>288.1</td>
<td>291.1</td>
<td>287.6</td>
<td>290.7</td>
</tr>
<tr>
<td>Km 169.2 Mosul city</td>
<td>242.8</td>
<td>244.9</td>
<td>242.6</td>
<td>244.7</td>
</tr>
<tr>
<td>Km 196.6 Haman Alil</td>
<td>222.7</td>
<td>225.1</td>
<td>222.5</td>
<td>225.0</td>
</tr>
</tbody>
</table>

The BV review report, therefore, did not include any “Risk Assessment”, but only examined the hazards posed by the presence of the reservoir and outlined what might happen in the event of dam break situation; moreover, the SC report did not have in its scope any damage assessment although it included a brief discussion of this subject.

In Section 2, Mosul Dam and Reservoir of the review report BV summarizes the data given in the SC report regarding the reservoir and catchment area, the design of the dam and construction outline. BV report also describes situations, which did not exist in 1984 and could not have been anticipated by SC at that time. These includes, the dissolution issue in the foundation, which led to the present day on-going program of grouting, the formation of sinkholes, seepage in the left abutment including seepage along both sides of the spillway, and erosion in the bottom outlet plunge pool.

In commenting on the foundation problem, BV states the following: “It appears from the records of the extended program of grouting that the problems in the foundation are now confined to anhydrite/gypsum layers and relict karst or new karst to be more pronounced in layered gypsum bed (GB0) at depth of 80 – 100m below the dam foundations”. BV commented also on the instrumentation and monitoring of the dam. This was judged by WII/BV team in its site visit in 2004 as follows: “the dam was well instrumented and the observation procedures and reporting were considered to be sound” [21].

BV proceeded in Section 3 to discuss potential possibilities of failure presented in SC report, which had outlined the main forms of embankment instability that might lead to dam break and agreed with SC statements on this subject, i.e. that such instability may result from:

-External erosion due to overtopping.
-Internal erosion of the embankment or its foundation, due to seepage.
-Instability associated with shear failure within the embankment and foundation or within the embankment alone due to inadequate shear strength.
-Damage from earthquakes or explosions.

In the overtopping scenario, the flood routing calculations of the most severe floods combined with one or more spillway gates being out of order, showed that the dam was safe...
against overtopping judging from the available free board and spillway capacity. Even a
dam break would not be possible due to the additional capacity of the fuse plug spillway in
the most severe case.
In the consideration of possible failure due to internal erosion in the embankment or in the
foundation; SC put aside such possibility on the grounds that the embankment had a very
defensive design by the provision of a wide and very well compacted clay core and ample
filters upstream and downstream of the core and provision of drainage zones. And
similarly erosion in the foundation would be prevented by the construction of a multiple
row grout curtain extending down to a depth about the maximum height of the dam. BV
however, commented that although some maintenance works on the grout curtain had been
expected by SC, the large magnitude of this work was not anticipated or foreseen by SC at
the time when they prepared their study.
Finally, BV discussed the possibility of dam failure by military action, which was outlined
in SC report. In their assumed scenarios, SC had stated that such military action might
include the occupation and destruction of the dam by invading troops and decided that they
were not qualified to discuss such a strategic situation. On the destruction of the dam by air
attack, SC expressed the opinion, which was based on discussion with military experts that
a significant damage to the dam body requires very large charges and several hits in the
same location. The addition of thick rock armour on the dam crest would reduce the
damages even more. In addition, the concrete structures were heavily designed and
appreciable damage could not be inflicted on them. It is worth to mention here that the dam
body was hit by an air to ground missile in the 1991 Gulf War with no damage at all, the
powerhouse machine hall roof was damaged by another strike and two of the four tall surge
tanks were pierced by air missiles. All damages were repaired within six months after the
end of the war.
The last scenario examined by SC was that small enemy groups might attack the dam to
cause some damages and its ancillary structures. But due to the limited means at the
disposal of such groups and their restricted movement coupled with the unlikely event of
simultaneous flooding occurring at the same time; such dam break was judge as of very
remote possibility. This last assumption proved to be wrong in summer 2014 when ISIS
occupied the site and it was only matter of luck that this happened during the dry season and
the group did not have time to accumulate enough explosives before they were driven
away.
In their assessment of dam failure possibilities, SC concluded that the “largest unknown
factor” in Mosul Dam was the underground and the geologic conditions, which were not
simple. The conclusion of SC was based on the analysis of the historic frequencies of the
types of dam failure and also on local knowledge that a foundation conditions would
provide a larger contribution to the overall failure probability than any other single cause.
This conclusion was confirmed by BV. As a result, SC judged that, foundation failure as the
“least unlikely failure mode” in Mosul Dam. BV considered this to be a reasonable
judgment; which was strengthened, if anything, by the scope of the foundation grouting that
had been undertaken since the commissioning of the project. BV also concurred with SC’s
view that the ultimate size and shape of the breach were not likely to be sensitive to the
initial cause of the failure. BV then used different modelling procedures to check SC
findings; that was the decoupling of the derivation of the breach hydrograph (which was
discussed in Section 4) from the conditions, which would occur downstream (discussed in
section 5).
Chapter 4 was concerned with Modelling of the Reservoir, the Dam and the Breach; BV used DAMBRK UK software, a more modern software, as a check on FLORIS model used by SC for deriving the dam breach hydrograph. The resulting hydrograph had the same value of the peak, but with a broader shape, steeper recession and almost the same volume of the SC hydrograph (Fig. 2). It is noticeable; however, that the major part of the flood rise in both cases occurs between 1 and 4 hours. BV then applied, as a check, some empirical formulae derived from case histories of dam failures and which may be used to give an order of magnitude of the floods. The higher estimates by these empirical methods to support the results of both FLORIS and DAMBRK UK models. As a conclusion, BV remarked that the dam breach analysis conducted by SC was sound in 2004 as it had been in 1984.

![Figure 2: Comparison of dam breach hydrograph by DAMBRK UK and Swiss Consultants [21].](image)

Chapter 5 of the BV report was concerned with the modelling of the flood in the downstream valley following the dam break event. BV examined the appropriateness of the use of FLORIS by SC as the modelling software for the Tigris River. But, in the beginning BV explained that at that time they had stopped using DAMBRK UK as a tool for modelling floods in rivers in favour of more modern software due to developments in modelling techniques, especially in the presentation of graphical outputs and interfacing with GIS systems to provide inundation mapping. In this respect, two alternatives were examined by BV, i.e. ISIS version 2.2 (developed by HR Wallingford and Halcrow UK) and MIKEII (developed by the Danish Hydraulic Institute). In comparing with the results of these models with the SC FLORIS results, they showed close agreement and so BV
accepted SC choice as sound and correct.
The next step was checking the schematization of the Tigris River, and examining how the river and its' tributaries were represented and coded and how the structures along its course were introduced, i.e. the Mosul Dam itself including the assumed dam breach, the re-regulating dam, and the Sammara barrage, which controls outflows from the model to Tharthar Lake, and which breaches when the embankment is overtopped. As a conclusion, BV concludes the following “The schematization of the Mosul Dam model appears to have been carried out in logical manner”.
The following stage of checking was on the modelling procedure and how the model was calibrated. BV examined this matter in details and concluded that the choice of the 1964, 1969 and 1974 historical floods for simulation of the model for real floods was acceptable due to the availability of good data of these floods in the form of gauged discharge hydrographs in many stations along the river and its tributaries. And that; since the geometry of the schematized river system was fixed by the cross sections, then the only remaining parameter to be fixed by SC for this calibration was the choice of the Manning “n”. The choice by SC of a value of 0.027 gave good results for the mentioned historic floods and the decisions taken by SC to increase this to a higher value of 0.033 for running the dam break wave on the model (as would be expected due to the higher hydraulic resistance expected on the valley sides), and using 0.05 for sensitivity analysis, were good decisions. BV considered that this was realistic and would represent the actual wave flow condition. As a final conclusion, BV judged the whole modelling procedure as acceptable.
Chapter 6 reviewed the consequences of the flood wave. The results derived from the SC-1984 report were checked for consistency; both internally and with the results from other dam break studies. BV found that these results could be accepted with some confidence. This BV review also can be used now as a guide through SC report and to see in summary the key results obtained for Mosul City and Baghdad, which are the major conurbations near the upstream and downstream ends of the model.
Another important point that was highlighted by BV review was the definition of the “Rescue Level” adopted by SC, as the evacuation level, which is safe from flooding and therefore, suitable as an evacuation destination. In the upper reaches, where the flow velocities would be high, this was taken as 4m above the peak of the flood wave rounded up to the next whole meter. Reducing it to 2m in the areas downstream of Baghdad, where the flooded areas are flat and the flow wave depths and velocities are less. BV commented on this choice in subsection 6.4.
In subsections 6.2 and 6.3, BV referred the reader to the parts and annexes in SC report, where the detailed model outputs for the first 100 km downstream were given. BV summed up the main results in a table with the following comment:
“What is abundantly clear from the 1984 results for Mosul City that, regardless of the selected assumptions:
- The flood wave may arrive within about 3 hours after the initiation of the breach: and;
- The ultimate depth (above normal flood levels in the river) will be 20 m.
The warning time, after allowing for any delays in raising the alarm, could therefore, be extremely short and the effects on the city would be devastating. The whole left bank as far as the ruins of Nineveh would be set under water. Subsection 6.4 also gives the chapters and annexes in SC report for the key results in Baghdad and were summarized in table 5.1 Volume 3 of the 1984’s report and reproduced by BV in this subsection with the following comment:
The calculated water levels were reported as 4.6 m higher than the flood level recorded in
1971 flood at a recorded discharge of 4500 m$^3$/sec at the centre of Baghdad (Sari Gauging Station), and estimated as 2.5 m to 3 m higher than the 1941’s flood, which had been estimated at 7600 m$^3$/sec. The results for Baghdad showed that there should be ample time to raise the alarm, achieve an orderly evacuation to avoid heavy losses and mobilize the emergency services. With proper planning, there should be opportunity to avoid heavy losses of life. The rescue levels quoted in SC report for Baghdad was between level 38 m (a.s.l.) and 42 m (a.s.l.) with 40 m (a.s.l.) at the centre. BV expressed their concern that due to buildings and other obstructions in the city the rescue levels should be increased by another 2 m to reduce the risks to the evacuation zones.

In chapter 7, on Damage assessment; BV review outlined some of the guidelines used in the UK on the estimation of damage to buildings in the event of flooding; and one method for the estimation of the potential loss of life which is suggested by the USBR in the United States was also discussed. It is clear from these that if enough warning time is available, then proper emergency planning can also be provided. But in all cases, Mosul City has not enough warning time which makes a meaningful emergency planning possible.

BV report then goes on to say that damage assessment and emergency planning were not included in SC report. BV in this respect claimed, however, that such a damage assessment and planning were not possible in the short frame of time given to SC in the preparation of the flood wave study and reiterated what SC had already mentioned in their report on describing the damages as “too large to be estimated at all”.

In chapter 8, Further Modelling; BV investigated the sufficiency and the applicability of the results of the 1984’s report. In this context, BV emphasized that SC modelling results were very sound to the degree that no further modelling would be required. But it also could be suggested that some refinements could be introduced in modelling the lower reach around Baghdad in view of the complexity of changes that had occurred in those areas. For such modelling, modern processing and mapping techniques could be utilized. The important conclusion, which BV had reached, however, is that there was not enough justification for delaying the preparation of the Emergency Action Plan (EPA) even for such refinements. In BVs’ opinion, the results of SC model are applicable for the preparation of the required EAP, but it was very important that the interpretations of the model results were to be made by an experienced hydraulic engineer, and to produce updated flood maps even if updated surveying work might be required in some places.

Finally in the Conclusion, chapter 9, BV tried to answer three basic questions in order to reach a conclusion, these are:

- Was the dam break model reasonable and realistic representation of the “least unlikely mode of failure”?
- Was the flood wave model using FLORIS rigorous enough in view of the modelling capabilities available in 1984?
- Did SCs’ work using FLORIS stand up to examination against software available in 2004?

BV’s answer to these three questions was “YES”.

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4 Consequences of Mosul Dam Failure

The seepage problem during the construction and operation periods in Mosul Dam due to
the dissolution of gypsum and anhydrite beds raised high concern about the safety of the
dam. In view of the situation, the Iraqi Government asked the Swiss Consultants to perform
a study about this matter (see [20]). This study was checked again by BV in 2004 (see [21]).
Despite the fact that the above studies used different mathematical models, they got the
same results. To overcome the problem, grouting operations were the main solution. Later
in 2007, the US Corps of Engineers raised high concerns about the safety of the dam and it
was reported that in case of the dam failure, it could wipe out whole cities, and was
considered to be the most dangerous dam in the world (see [14,15]). When ISIS occupied
the dam site in 2014, there were fears that they might use explosives to destroy the dam.
Numbers of articles were written in this context [15,16,17,18,19]. As an example, [15]
rewrote “the dam has been suffering a critical lack of maintenance and repair work. And
under Islamic State management, a similar situation has been happening to other major
dams located on the Euphrates (which still haven’t been freed).

Swiss Consultant [20] report had traced the wave caused by the dam failure. They
calculated the discharges and water levels from the dam site downstream to Baghdad
(Table 8 and figure 3). The highest discharges and wave heights are expected to be noticed
in the first 122 km downstream the dam. The discharge is expected to be 551000 m$^3$/sec
at the start and attenuates to 320 000 m$^3$/sec at the confluence of Tigris- Greater Zab Rivers,
which will be reached after 7 hours. The wave height is expected to be 55m and decreases
to 45 m the first 20 km. Mosul city will be affected by the flood after 4 hours of the dam
breaching where the maximum water level is expected to be 243 m (a.s.l.) (see Fig. 4). The
wave height will be 24 m and it will inundate 74.044 km$^2$ of the area of Mosul city (Fig. 4).
Downstream the confluence with Greater Zab River the discharge of the Tigris River will
be reduced to 310 000 m$^3$/sec. The water wave will reach Fatha after 16 hours and the
discharge of the Tigris River after its confluence with the Lesser Zab River will be 210000
m$^3$/sec. The wave height is expected to be 25 m at Fatha and since the water has to pass
through the narrow gap between Hemrin and Makhul Mountains, backwater effect will be
noticed and the water velocity at the gap will reach 10 m/sec.

Downstream Fatha, the Tigris River valley widens from 1 km to 5 km and the discharge
decrease to 185000 m$^3$/sec. At 422 km downstream the dam, the river passes a major city
called Tikrit. At that city the discharges will be 185000 m$^3$/sec. The wave height will arrive
after 22 hours and its height will be 15m. It will inundate 68,985 km$^2$ (Table 8, Figures 3 and 4).
Then the wave will reach another major city called Samara which is 479 km
downstream the dam. The wave time of arrival at this city is 25 hours and height of the
wave reaches 10m. The river discharge will be reduced to 162000 m$^3$/sec. It will inundate
30.100 km$^2$ of the city (Table 8, Figures 3 and 4). Further downstream, at a distance of 638
km from the dam, the wave reaches north Baghdad with a height of 4m. The time expected for the wave to reach Bagdad is 38 hours. The discharge of the river at this point will be about 46,000 m$^3$/sec. It will take the wave about 10 hours to pass Baghdad and it will inundate an area of about 216.934 km$^2$ (Table 8, Figures 3 and 4).

None of the reports gives exact details about the damages expected due to Mosul Dam failure; however, as stated earlier, USACE estimated that the loss of life might reach 500,000 persons. In addition, when we follow the path of the wave from the dam site to Baghdad (685 km) we can realize the damage of the infrastructure that the wave is expected to cause keeping in mind that hundreds of towns and villages are located on both banks of the Tigris River.

In view of this situation and to avoid these consequences, the Iraqi Ministry of Irrigation in 1988 decided to build Badush Dam. The site of the dam is located on the Tigris River approximately 40 km downstream from Mosul Dam site and approximately 15 km upstream of Mosul city. The main function of the dam was the protection of the downstream region of the Tigris River valley against the effect of potential Mosul Dam failure, due to any possible reason. In addition, it was also supposed to be used for irrigation and power generation. In the design of the dam, a free volume of 61.5 m height between EL 245.4 m (a.s.l.) (the normal operation water level), and EL 307.0 m (a.s.l.) (maximum water level) in case of Mosul Dam failure was allowed. This volume is enough to engulf the flood wave resulting from the worst scenario of Mosul Dam flood wave study. The foundation of Badush Dam is of massive limestone and no dissolution of soluble rock was anticipated. The construction; however, was halted in 1991 due to the economic sanctions imposed on Iraq as a direct result of Iraqis occupation of Kuwait. The completed work is about 40%.

5 Conclusions

Construction work in Mosul Dam started on January 25th, 1981 and it started operating on 24th July, 1986. During that period onwards, the seepage problem due to the dissolution of gypsum and anhydrite beds under the foundation of the dam could not be stopped. This caused great concerns about the possibility of the dam failure. Several studies were conducted and different models were used to show what is expected to happen in case of the failure of the dam. All the models used showed similar results. It is expected that the wave at the dam site will be 55 m in height and the water discharge is of the order of 551,000 m$^3$/sec. This wave will reach the capital city of Iraq located 638 km downstream the dam. During its course, the wave will inundate several major and small cities. About 74 km$^2$ of Mosul city will be flooded while at Tikrit and Sammara the area to be inundated reaches about 69 and 30 km$^2$ respectively. About 216 km$^2$ of Baghdad will be covered by water.

The discharge of the river will be 46,000 m$^3$/sec and the wave height will be 4m. This will cause the death of about half a million of the civilians as well as great damage to the infra-structure of all the area from the dam site to Baghdad.

Therefore, it is recommended to avoid this catastrophe by finding a solution to the seepage problem. In the same time the construction of the remainder of Badush Dam should be completed according to the original design that allows Badush Dam to hold the wave that could be generated by the failure of Mosul Dam.
Table 8: Discharges, time of arrival and wave height of the wave generated due to Mosul Dam failure (modified after [20]).

<table>
<thead>
<tr>
<th>Location</th>
<th>Discharge (m³/sec)</th>
<th>Time of arrival (hr)</th>
<th>Wave height (m)</th>
<th>Distance (km)</th>
<th>Flood area (Km²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dam site</td>
<td>551,000</td>
<td></td>
<td>54</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Regulating Dam</td>
<td>545,000</td>
<td>1.3</td>
<td>48</td>
<td>9</td>
<td></td>
</tr>
<tr>
<td>Eski Mosul</td>
<td>481,000</td>
<td>1.6</td>
<td>45</td>
<td>17</td>
<td></td>
</tr>
<tr>
<td>Mosul City</td>
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<td>4</td>
<td>24</td>
<td>69</td>
<td>74.044</td>
</tr>
<tr>
<td>Hamam Ali</td>
<td>370,000</td>
<td>5</td>
<td>18</td>
<td>97</td>
<td></td>
</tr>
<tr>
<td>Tikrit</td>
<td>185,000</td>
<td>22</td>
<td>15</td>
<td>422</td>
<td>68.985</td>
</tr>
<tr>
<td>Sammara</td>
<td>162,000</td>
<td>25</td>
<td>10</td>
<td>479</td>
<td>30.100</td>
</tr>
<tr>
<td>Balad</td>
<td>115,000</td>
<td>28</td>
<td>9</td>
<td>516</td>
<td></td>
</tr>
<tr>
<td>Khalis</td>
<td>81,000</td>
<td>31</td>
<td>6</td>
<td>566</td>
<td></td>
</tr>
<tr>
<td>Tarniya</td>
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<td>33</td>
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<td>597</td>
<td></td>
</tr>
<tr>
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<td>4</td>
<td>638</td>
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</tr>
<tr>
<td>Baghdad (Center)</td>
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<td>44</td>
<td>4</td>
<td>653</td>
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<tr>
<td>Baghdad (South)</td>
<td>34,000</td>
<td>48</td>
<td>3.5</td>
<td>674</td>
<td></td>
</tr>
<tr>
<td>Diyala Confluence</td>
<td>34,000</td>
<td>&gt;48</td>
<td>3</td>
<td>685</td>
<td></td>
</tr>
<tr>
<td>Salman Pak</td>
<td>31,000</td>
<td>&gt;48</td>
<td>3</td>
<td>708</td>
<td></td>
</tr>
</tbody>
</table>

Figure 3: Water wave height due to Mosul Dam failure and time of arrival from the dam site downstream.
Figure 4: Expected inundation of major cities on the Tigris River due to Mosul Dam failure.

6 Recommendations for Future Actions

In view of the severity of Mosul Dam situation, this requires a very sound and decisive actions, which should be taken by the government of Iraq, and in case the government does not have enough resources to be dispensed on improving the protection of its population, then it should seek the help of the International Community, whether in the form of financial or technical support; even if this requires to be performed by diplomatic levels.

The Government of Iraq is urged to take actions on various levels:

A. On the Level of the Site
- To improve the present grouting techniques by supplying new machinery and tools and all infra structures required for better control, compiling and logging of data for a quick and intelligent interpretation, and train the personnel on their use.
- Install more open pipe piezometers covering the downstream shell of the dam and an extensive area downstream to discover any anomaly in the seepage pattern that might indicate an adverse development and enable an early alert.
-Investigate all available modern techniques and development in ground water movement observation systems and select what fit the situation and acquire them.
-Abandoning the idea of constructing a diaphragm, as all the studies carried out so far proved that such solution is not only infeasible technologically and financially, but it could endanger the integrity of the dam itself. It is understood that the government has signed a Memorandum of understanding with an international company in 2011 to do such work.
-Detailed seismic study in the area should be conducted including micro seismicity and microgravity to see the effect on old existing faults and their possible movement.

B. On the Area Level
-To resume the construction of Badush Dam as it is the only way for protecting the downstream region in case of Mosul Dam failure. It is understood that the government had revised its plans for this dam to be built as a low run of the river facility and abandon the flood wave protection function, which is very unwise in view of the current situation.
-To initiate a feasibility study for the decommissioning of Mosul Dam. Such a plan should investigate the constructing of a much smaller dam upstream of the present site to provide the projects of North Jazira, South Jazira and East Jazria with irrigation water. The Irrigation water for the middle and southern Iraq irrigation project can be supplied from Al-Tharthar Lake, while flood protection of Baghdad can be enhanced by turning Al-Sharee Depression north of samara into flood protection facility.

C. On the National Level
-An exact and well defined Emergency Action Plan (EAP) should be prepared by the government. This is a routine action, which is taken by all governments when building a dam of Mosul Dam's magnitude or even less to safe guard the population against such an event, even if the probability of its occurrence is very low. The plan shall be comprised of but not limited to the following:-

1. Formation of an Emergency action group, which could convene at a very short notice and can assume complete authority and responsibility of the situation to take any required action. Needless to say it shall have in its membership representatives of all government authorities concerned; civil and military.

2. Install a modern communication and warning system (independent of any other system) with platforms in all critical points and offices concerned.

3. Conduct new updated mapping of the downstream region to the last point where the flood wave ceases to have an effect beyond normal flooding. Such mapping should use all modern systems of imagery and GIS technique to define not only inundation limits, but to define the safe rescue levels depending on the present land use and finally select the required evacuation areas.

4. The government should promulgate laws and ordinance on the future use of land in the threatened zones. This should also be considered in the town planning of the extensions and new developments of the existing cities and townships situated in the river valley.
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